

BSE Limited Listing & Compliance Department Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001	The National Stock Exchange of India Limited Listing & Compliance Department Exchange Plaza, C-1 Block G, Bandra Kurla Complex, Bandra (E), Mumbai-400051
Company Code : 517206	Company Code : LUMAXIND

Sub.: Annual Secretarial Compliance Report pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Ma'am,

Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019, kindly find appended hereto, the Annual Secretarial Compliance Report of the Company for the Financial Year ended 31st March, 2020 duly issued by Mr. Maneesh Gupta, Practicing Company Secretary (FCS 4982).

You are requested to kindly take the same in your records.

Thanking You,

Yours faithfully,

For **LUMAX INDUSTRIES LIMITED**



PANKAJ MAHENDRU
COMPANY SECRETARY
M.NO. A-28161



Encl: as above

MANEESH GUPTA

18/15, 2nd Floor,
Shakti Nagar, Delhi-110 007
Ph: 9871208877

ANNUAL SECRETARIAL COMPLIANCE REPORT OF LUMAX INDUSTRIES
LIMITED FOR THE YEAR ENDED MARCH 31, 2020

Lumax Industries Limited,
2nd Floor, Harbans Bhawan-II
Commercial Complex,
Nangal Raya,
New Delhi-110 046

Sub: Secretarial Compliance Report

Sir/ Madam,

I, Maneesh Gupta, Practicing Company Secretary have examined

- a) all the documents and records made available to us and explanation provided by the Lumax Industries Limited (“the listed entity”),
- b) the filings/submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity, and
- d) any other document/ filing, as may be relevant, which has been relied upon to make his certification,

for the year ended March 31, 2020 (“Review Period”) in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the regulations, circulars, guidelines issued thereunder; and
- b) the Securities and Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; - **Not Applicable to the Company during the review period.**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; -
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; - **Not Applicable to the Company during the review period.**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; - **Not Applicable to the Company during the review period.**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; - **Not Applicable to the Company during the review period.**

- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; - **Not Applicable to the Company during the review period.**
- h) Securities and Exchange Board of India (Prohibition of Insider trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

and circulars /guidelines issued thereunder;

and based on the above examination, I hereby report that, during the review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued together in so far as it appears from my examination of those records.
- c) There was no action taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.
- d) The listed entity has taken the following actions to comply with the observations made in previous reports: - **Not Applicable as there were no observations which require action.**

Place: New Delhi
Date: June 4, 2020
UDIN: F004982B000315325

MANEESH
H GUPTA

Digitally signed by MANEESH GUPTA
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st=Delhi,
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serialNumber=06c4e1c58a8816359f61c443
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efc, cn=MANEESH GUPTA
Date: 2020.06.04 11:05:21 +05'30'

Signature
Maneesh Gupta
FCS No.: 4982
CP No.: 2945