

**Secretarial Compliance Report of G G Engineering Limited for the year
Ended 31st March, 2022**

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015]

To,
The Board of Directors,
G G Engineering Limited
Office No. 203,2nd Floor,
Shivam Chambers Coop Soc Ltd.,
S.V Road, Goregaon West,
Near Sahara Apartment,
Mumbai – 400104

We, Bhuvnesh Bansal & Associates, Practicing Company Secretary firm have examined:

- (a) all the documents and records made available to us and explanation provided by **G G Engineering Limited** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document / filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2022 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the Company during the Audit Period)**

- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the Company during the Audit Period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **(Not Applicable to the Company during the Audit Period)**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the Company during the Audit Period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable to the Company during the Audit Period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participant) regulations, 2018;
- and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, **except** in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations / circulars/ guidelines including specific clause)	Deviations	Observations/ remarks of the Practicing Company Secretary, if any.
1.	<i>Disclosure of encumbered shares under Regulation 31(4) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011</i>	<i>Disclosure of encumbered shares on a yearly basis under Regulation 31(4) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 was not submitted with BSE Limited on due date.</i>	<i>The Company had submitted the Disclosure of encumbered shares on a yearly basis under Regulation 31(4) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 with BSE Ltd. on 4th May, 2022, which was delayed by 23 days.</i>

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) No actions were taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	Nil	Nil	Nil	Nil

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	<i>Financial Results for quarter ended 30th June 2020 was not submitted with BSE Limited on due date.</i>	<i>31st March, 2021 (FY 2020-21)</i>	<i>Financial Results for quarter ended 30th June 2020 was submitted by the Company on 13th November, 2020 which was delayed by 59 days & the Company had paid fine imposed by BSE Ltd on 16th September,</i>	<i>The Company had paid fine imposed by BSE Ltd on 16th September, 2021 of Rs. 259600/- including GST on 1st October, 2021.</i>

			2021 of Rs. 259600/- including GST	
2.	Disclosure of Related party transactions for half yearly ended 30th September, 2020 was not submitted with BSE Limited on due date.	31st March, 2021 (FY 2020-21)	Disclosure of Related party transactions for half yearly ended 30th September, 2020 was submitted on 23rd January, 2021 which was delayed by 42 days and the Company had submitted the request for waiver of fine for the same with BSE Ltd. Company's request for waiver of the fine has been approved by BSE Ltd on 25th June, 2021.	Company's request for waiver of the fine has been approved by BSE Ltd on 25th June, 2021.

Place: Mumbai
Date: 10th May, 2022

For Bhuvnesh Bansal & Associates

Bhuvnesh Bansal
Proprietor
FCS No. – 6526
CP No. – 9089
UDIN - F006526D000299038
Peer Review Certificate No.: 1708/2022