

Biocon Limited
20th KM Hosur Road
Electronics City
Bangalore 560 100, India
T 91 80 2808 2808
F 91 80 2852 3423
CIN : L24234KA1978PLC003417

www.biocon.com

December 2, 2020

To The Secretary BSE Limited Department of Corporate Services Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001 Scrip Code - 532523	To The Secretary National Stock Exchange of India Limited Corporate Communication Department Exchange Plaza, Bandra Kurla Complex Mumbai – 400 050 Scrip Symbol - BIOCON
---	--

Dear Sir/Madam,

Sub: Reporting of violations under SEBI (Prohibition of Insider Trading) Regulations, 2015

We are writing in reference to the Company's Code of Conduct for Prevention of Insider Trading to regulate, monitor and report trading by Designated Persons and their Immediate Relatives under Schedule B read with Regulation 9 of the SEBI (Prohibition of Insider Trading) Regulations, 2015.

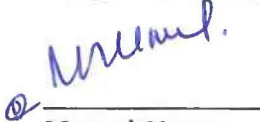
Please find enclosed herewith the report related to the violation of Company's Code of Conduct for Prevention of Insider Trading by the Designated Person, in the format prescribed by SEBI vide its Circular SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

We request you to kindly take above intimation on record.

Thanking You,

Yours faithfully,

For **Biocon Limited**





Mayank Verma
Company Secretary and Compliance Officer

Encl: As above

Annexure-1
Report format by Biocon Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.
Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulation, 2015

Sr. No.	Particulars	Details																
1	Name of the listed company/ Intermediary/ Fiduciary	Biocon Limited																
2	Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company <input checked="" type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company																
3	<p>A. Name of the Designated Person (DP)</p> <p>a. Name of the DP ii. PAN of the DP iii. Designation of DP iv. Functional Role of DP v. Whether DP is Promoter or belongs to Promoter Group</p> <p>B. If Reporting is for immediate relative of DP</p> <p>i. Name of the immediate relative of DP ii. PAN of the immediate relative of DP</p> <p>C. Details of transaction(s)</p> <p>i. Name of the scrip</p> <p>ii. No of shares traded and value (Rs.) (Date-wise)</p> <p>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</p> <p>i. Date of intimation of trade(s) by concerned DP/ director/ promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015 ii. Date of intimation of trade(s) by Company to stock exchanges under</p>	<p>Mr. Abhishek Ramkrishna AHYPR0208G Senior Director Corporate Communication, Biocon Biologics Limited (a subsidiary of Biocon Limited) No</p> <p>NA</p> <p>Biocon Limited(BSE - 532523/ NSE – BIOCON)</p> <table border="1"> <thead> <tr> <th>Date of the Transaction</th> <th>Nature</th> <th>No. of shares involved</th> <th>Price in Rs.</th> </tr> </thead> <tbody> <tr> <td>June 22, 2020</td> <td>Buy</td> <td>7</td> <td>386.80</td> </tr> <tr> <td>November 2, 2020</td> <td>Buy</td> <td>50</td> <td>396.25</td> </tr> <tr> <td>November 3, 2020</td> <td>Sell</td> <td>57</td> <td>407.15</td> </tr> </tbody> </table> <p>NA</p>	Date of the Transaction	Nature	No. of shares involved	Price in Rs.	June 22, 2020	Buy	7	386.80	November 2, 2020	Buy	50	396.25	November 3, 2020	Sell	57	407.15
Date of the Transaction	Nature	No. of shares involved	Price in Rs.															
June 22, 2020	Buy	7	386.80															
November 2, 2020	Buy	50	396.25															
November 3, 2020	Sell	57	407.15															



regulation 7 of SEBI (PIT) Regulations, 2015														
4	Details of violations observed under Code of Conduct	Mr. Abhishek Ramkrishna has undertaken contra trade (i.e. buy and sell) of 57 equity shares of Biocon Limited within a period of 6 months.												
5	Action taken by Listed Company/ Intermediary/ Fiduciary	<ul style="list-style-type: none"> • Instructed him to pay a penalty of Rs. 687/- which is equivalent to 100% of the profit earned on above said transaction; • Cautioned him not to repeat any violation in future under the Code; • Instructed him to attend educative session on the Code, as and when communicated by the Compliance Officer. 												
6	Reasons recorded in writing for taking action stated above	Violation of para 7(iii) of the Code, which prohibits contra trade for a period of 6 months.												
7	Details of the previous instances of violations, if any, since last financial year	NA												
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/ Demand Draft) ii. Details of transfer/payment In case of Online: In case of Demand Draft (DD):	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Abhishek Ramkrishna</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>ICICI Bank, Fort Mumbai and 623501511808</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>NEFT-2129049736</td> </tr> <tr> <td>Transaction date</td> <td>25/11/2020</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs. 687/-</td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Abhishek Ramkrishna	Bank Name, branch and Account number	ICICI Bank, Fort Mumbai and 623501511808	UTR/Transaction reference Number	NEFT-2129049736	Transaction date	25/11/2020	Transaction Amount (in Rs.)	Rs. 687/-
		Particulars	Details											
Name of the transferor	Abhishek Ramkrishna													
Bank Name, branch and Account number	ICICI Bank, Fort Mumbai and 623501511808													
UTR/Transaction reference Number	NEFT-2129049736													
Transaction date	25/11/2020													
Transaction Amount (in Rs.)	Rs. 687/-													
<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)					
Particulars	Details													
Bank Name and branch														
DD Number														
DD date														
DD amount (in Rs.)														
8	Any other relevant information	Nil												

Yours faithfully,

For Biocon Limited



Mayank Verma

Mayank Verma
 Company Secretary & Compliance Officer
 PAN: AEPPV7701L
 Email Id: mayank.verma101@biocon.com
 Mobile Number: +91 97399 04949

Date: December 2, 2020
 Place: Bengaluru