

# SERA INVESTMENTS & FINANCE INDIA LIMITED

(Formerly known as Kapashi Commercial Limited)

**Date:** April 27, 2023

To,  
**BSE Limited,**  
P.J. Towers,  
Dalal Street,  
Mumbai-400001, Maharashtra

**Sub.: Compliance Certificate for the Financial Year ended on March 31, 2023**  
**Ref.: Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements), 2015**

Dear Sir,

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements), 2015, please find enclosed herewith the Compliance Certificate certifying that all activities of the Company in relation to both physical and electronic share transfers are maintained by the Registrar and Share Transfer Agent, M/s. Purva Sharegistry (I) Private Limited for the period from April 01, 2022 to March 31, 2023.

Kindly take the same in your record.

Thanking you,

Yours faithfully,

**For, SERA INVESTMENTS & FINANCE INDIA LIMITED**  
**(Formerly Known as KAPASHI COMMERCIAL LIMITED)**

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**SAGAR SAMIR SHAH**  
**WHOLE-TIME DIRECTOR**  
**DIN - 03082957**





# PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011  
Tel : 2301 2518 / 2301 6761 • Email: support@purvashare.com • Web : www.purvashare.com

ISIN: INE017I01019

Scrip Code: 512399

## Compliance Certificate

[Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015]

I (We) being the Company Secretary (ies) of the Company and the Authorised Representatives of the Share Transfer Agents do hereby certify that the M/s SERA INVESTMENTS & FINANCE INDIA LIMITED, having its Registered Office at <sup>Ahmedabad</sup>, has appointed M/s Purva Sharegistry (India) Private Limited as its Share Transfer Agents (STA) having its Registered Office/Place of activities at Unit No. 9, Shiv Shakti Industrial Estate, J. R. Boricha Marg, Lower Parel (E), Mumbai - 400011 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112.

Further, M/s Purva Sharegistry (India) Private Limited as a STA has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LODR) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of M/s SERA INVESTMENTS & FINANCE INDIA LIMITED for the year ended March 31, 2023 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of M/s SERA INVESTMENTS & FINANCE INDIA LIMITED.

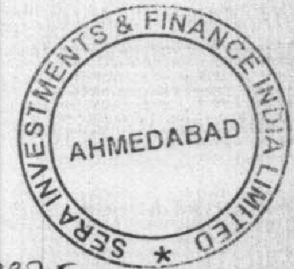
For Purva Sharegistry (India) Pvt. Ltd.



Ms. Deepali Dhuri  
Compliance Officer

Place: Mumbai  
Date : 03/04/2023

For SERA INVESTMENTS & FINANCE INDIA LIMITED



Company Secretary  
M.No. ACS/FCS - A51875

Place: Ahmedabad  
Date: April 27, 2023