



YBL/CS/2023-24/148

January 15, 2024

National Stock Exchange of India Limited

Exchange Plaza, Plot no. C/1, G Block,
Bandra - Kurla Complex, Bandra (E)
Mumbai - 400 051
Tel.: 2659 8235/36 8458
NSE Symbol: YESBANK

BSE Limited

Corporate Relations Department
P.J. Towers, Dalal Street
Mumbai - 400 001
Tel.: 2272 8013/15/58/8307
BSE Scrip Code: 532648

Dear Sir/Madam,

Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is to inform you that the Bank has received a communication today, i.e. on January 15, 2024, from Reserve Bank of India (RBI) stating that RBI had imposed a monetary penalty of Rs. 10,000/- and Rs. 5,000/- on the Bank for not providing the exchange facilities for the mutilated notes to the public as per RBI extant instructions and relating to training on cash handling, respectively. The same was observed during the visit by RBI official at one of the branches of the Bank.

This penalty has been imposed under para 2. v. and para 2. iv. of the Master Circular no. DCM (CC) RBI/2023-24/100 DCM (CC) No. G-3/03.44.01/2023-24 dated April 03, 2023 on "Scheme of Penalties for bank branches including Currency Chests" based on performance in rendering customer service to the members of public.

Information as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Schedule III and the SEBI Circular No. SEBI/HO/CFD/CFD-PoD1/P/CIR/2023/123 dated July 13, 2023, is enclosed as *Annexure A*.

We request you to take the above on record and disseminate the same on your website.

Thanking you,

Yours faithfully,

For YES BANK LIMITED

Shivanand R. Shettigar
Company Secretary

Encl: Annexure A

Annexure A

Sr. No.	Details of Events that need to be provided	Details / Information of such events(s)
1	Name of the authority	Issue Department, Reserve Bank of India
2	Nature and details of the action(s) taken, initiated or order(s) passed	Rs. 10,000/- and Rs. 5,000/- on the Bank for not providing the exchange facilities for the mutilated notes to the public as per RBI extant instructions and relating to training on cash handling, respectively.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Communication received on January 15, 2024 from Reserve Bank of India.
4	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Non-compliance with RBI requirement for providing the exchange facilities for the mutilated notes to the public as per RBI extant instructions and relating to training on cash handling at one of the branches of the Bank.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 15,000/- (<i>Rupees Fifteen Thousand only</i>)