



The General Manager  
Department of Corporate Services  
**BSE Limited**  
25<sup>th</sup> Floor, P.J Towers,  
Dalal Street, Mumbai - 400001

May 26, 2020

**Scrip Code: 539199**

*Sub:- Submission of Certificate from Practicing Company Secretary under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")*

Dear Sir / Madam,

Pursuant to Regulation 40(9) of the Listing Regulations, please find enclosed herewith the Certificate obtained from Practicing Company Secretary for the half-year ended March 31, 2020.

This is for your information and records.

Thanking you,

For **Moongipa Securities Limited.**



**Sanjeev Jain**  
**Company Secretary & Compliance Officer**

Encl.: As Above

**TO WHOMSOEVER IT MAY CONCERN**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2020**

I have examined the necessary Share Transfer Deeds, Memorandum of Transfers, Registers, files and other relevant documents relating to **Moongipa Securities Limited** ("the Company"), bearing **CIN: L74899DL1994PLC057941** and having its registered office at 18/14, W.E.A. Pusa lane, Karol Bagh, New Delhi- 110005 maintained by Company's Registrar and Transfer Agent- Skyline Financial Services Pvt. Ltd. (RTA) pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020, for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

On the basis of my examination and on the information/ explanation provided by the Company/ Registrar and Transfer Agent *in electronic mode*, I hereby certify that the Company has delivered during the half year ended 31<sup>st</sup> March, 2020:-

- A) Share Certificate(s) relating to the Share Transfer Deeds received during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 as entered in the Memorandum of Transfers have been issued within 30 days from respective the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies excepting those rejected on technical grounds.
- B) All Certificates in respect of valid and complete request for transmissions, Name Deletions and Change of Name have issued within thirty days of lodgment.

In view of COVID-19 pandemic, physical verification of the documents could not be done due to the complete lockdown situation. Therefore, the certificate is based on soft copies provided by RTA of the Company.

**Date: 14.05.2020**

**Place: New Delhi**

**ANJALI YADAV & ASSOCIATES**  
**Practising Company Secretary**

**ANJALI**  
**YADAV**

Digitally signed  
by ANJALI YADAV  
Date: 2020.05.14  
16:18:27 +05'30'

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**Anjali Yadav**

**Prop.**

**C.P. No. 7257**

**FCS No. 6628**

**UDIN: F006628B000239905**