

# KEN FINANCIAL SERVICES LIMITED

F-3, First Floor, Shah Arcade 1, Shah Arcade SRA CHSL, Rani Sati Marg, Malad (East), Mumbai - 400 097.  
Ph. No. 022-28881720, Email: kenfsl@rediffmail.com, Website: ken-fin.com  
(CIN- L65990MH1994PLC078898)

Date: 30<sup>th</sup> October, 2019

To,  
BSE Limited  
Department of Corporate Service,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001.

**Ref:** Script Code - 530547

**Sub:** Submission of Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2019

Dear Sir / Madam,

In terms of Regulation 7(3) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith Compliance Certificate for the half year ended 30<sup>th</sup> September, 2019.

Kindly take the same on your record.

Thanking you.

Yours faithfully,  
For Ken Financial Services Limited



Sumit Gupta  
DIN: 06938413  
Director

Encl: as above

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## COMPLIANCE CERTIFICATE

### FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019

*[Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]*

This is to certify that pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have complied with the requirements pursuant to Regulation 7(2) by ensuring that all the activities in relation to both, physical and electronic share transfer facility of Ken Financial Services Limited (the Company) are carried out and the records are maintained by the Company's Registrar & Share Transfer Agent, M/s. Purva Shareregistry (India) Pvt. Ltd., who is registered with the Board (SEBI), under Registration No. INR000001112 for the half year ended on 30<sup>th</sup> September, 2019.

Thanking you.

Yours Truly,

**For Ken Financial Services Limited**



**Khushboo Vasudev  
Company Secretary**

**For Purva Shareregistry (India) Pvt. Ltd.**



**Deepali Dhuri  
Authorised Signatory**