

#### Ref/No/HDFCAMC/SE/2020-21/67

Date – October 15, 2020

Kind Attn: Head – Listing Department	Kind Attn: Sr. General Manager – DCS Listing Department
Bandra Kurla Complex, Bandra (East) Mumbai – 400051	Dalal Street, Mumbai – 400001
Exchange Plaza, Plot C/1, Block G,	Sir PJ Towers,
National Stock Exchange of India Limited	BSE Limited

Dear Sir/Madam,

Sub: Certification in compliance with regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed herewith a certificate issued under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by S. S. Rauthan & Associates, Company Secretaries, for the period April 01, 2020 to September 30, 2020.

Thanking You,

Yours Faithfully, For HDFC Asset Management Company Limited

Sylvia Furtado Company Secretary

Encl: a/a



## S. S. Rauthan & Associates



**Company Secretaries** 

Surjan Singh Rauthan B.Com., F.C.S.

# CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

#### FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **HDFC Asset Management Company Limited** maintained by **KFin Technologies Private Limited** (Formerly known as **Karvy Fintech Private Limited** (the "RTA") pertaining to transfer of equity shares of the Company for the period from April 1, 2020 to September 30, 2020 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI ((Listing Obligations and Disclosure Requirements) Regulations, 2015, entered into by HDFC Asset Management Company Limited and based on the information provided by the Company and its RTA hereby certify that;

- A) During the period from April 1, 2020 to September 30, 2020 the Company has not received any request for transfer/transmission of equity shares.
- B) During the period from April 1, 2020 to September 30, 2020 the Company has not received any request for sub-division, consolidation, renewal or issuance of duplicate share certificates or endorsement of calls/allotment money.

#### For S. S. Rauthan & Associates

Company Secretaries UIN: S1999MH2026900

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### Surjan Singh Rauthan

Proprietor M.No.: FCS-4807, COP No.: 3233

Peer Reviewed Cert. No.:434/2016

UDIN: F004807B000931579

Place: Mumbai

**Date:** October 15, 2020



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