

20<sup>th</sup> April 2023

Electronic Filing

National Stock Exchange of India Limited  
"Exchange Plaza" Bandra-Kurla Complex,  
Bandra (E),  
Mumbai-400051

Department of Corporate Services/Listing  
BSE Limited  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Fort,  
Mumbai-400001

NSE Symbol : APLAPOLLO

Scrip Code : 533758

Dear Sir/Madam,

Re: Compliance Certificate pursuant to Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the Regulation 40(9) & 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith compliance certificate for the financial year ended 31<sup>st</sup> March 2023.

Submitted for your kind reference & records.

Thanking you

Yours faithfully  
**For APL Apollo Tubes Limited**

**Deepak C S**  
**Company Secretary**  
**Membership No. F5060**

Encl: a/a

**CERTIFICATE UNDER REGULATION 40(9) SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

I have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *APL Apollo Tubes Limited* maintained by *M/s Abhipra Capital Limited*, the Registrar and Transfer Agent (RTA) pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company and the RTA, I hereby certify that during the year ended on **31<sup>st</sup> March, 2023**, the company:

- (A) Has not received any request for share transfer (relodgement) and accordingly, the compliance of Regulation 40(9) did not arise for the transfer (relodgement) of equity shares.
- (B) Has not received any request for issuance of duplicate share certificate(s), split, consolidation, sub-division and renewal exchange or endorsement of calls/allotment monies received during the financial year covered under the certificate; and
- (C) Any other information: **Not Applicable**

Place: New Delhi  
Date: 20.04.2023

For Anjali Yadav & Associates  
Company Secretaries

Anjali Yadav  
Proprietor  
FCS No. 6628  
C.P. No. 7257  
UDIN: F006628E000150002