

Date: 20/04/2019

The Head-Listing Compliance

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The Manager

BSE Limited, PhirozeJeejeebhoy Towers, Dalal Street, FortMumbaj- 400001

National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400051

The Calcutta Stock
Exchange Ltd.
7, Lyons Range, Murgighata,
BBD Bagh, Kolkata, West
Bengal- 700001

Security Code: 511611

Stock Code: DCMFINSERV

Scrip Code: 014032

Subject: <u>Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations</u>, 2015

Dear Sir/Ma'am,

We are appending below the Compliance Certificate for the half year ended 31st March, 2019 received from our Registrar and Share Transfer Agent, MCS Share Transfer Agent Limited, as required under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take it on record.

FOR DOT FINANCIAL SERVICES LIMITED

Company Secretary & Compliance Officer

DCM FINANCIAL SERVICES LIMITED

CIN:L65921DL1991PLC043087
Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020
Tel-011-26387750

email ID: info@dfslonline.com Website: www.dfslonline.com

COMPLIANCE CERTIFICATE

[Pursuant to regulation 7 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]

We, the undersigned, hereby certify that all activities in relation to both physical and electronic share transfer facilities are maintained by Registrar and Share Transfer Agent i.e. MCS Share Transfer Agent Limited having their office at F- 65,0khla Industrial Area, Phase-I, New Delhi – 110020. We confirm that MCS Share Transfer Agent Limited is registered with Securities and Exchange Board of India to act as Registrar and Share Transfer Agent.

Further, we wish to state that the Company i.e. DCM Financial Services Limited is adopting "April to March" as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended March 31, 2019 i.e. for the period from October 1, 2018 to March 31, 2019 pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For DCM Financial Services Limited

Company Secretary

Date: 04.04.2019 Place: New Delhi For MCS Share Transfer Agent Limited

P K Mittal
Authorized Signatory