

SEC/157/2022

April 25, 2022

BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400001.
Scrip code: 542867

National Stock Exchange of India Ltd.,
Exchange Plaza, 5th floor,
Bandra-Kurla Complex,
Bandra (E), Mumbai 400051.
Symbol: CSBBANK

Dear Sir/Madam,

Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022.

Please find enclosed the certificate issued by CS Jayan K, Partner, SVJS & Associates, Company Secretaries, Kochi, in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022.

Kindly take the same on record.

Thanking You.

Yours faithfully,

Sijo Varghese
Company Secretary

Enclosures: As above.

CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS REGULATIONS), 2015 FOR THE YEAR ENDED 31ST MARCH 2022.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer requests and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **CSB BANK LIMITED** ('the Company') having its registered office at CSB Bhavan, Post Box No.502, St.Mary's College Road, Thrissur -680020, Kerala maintained and provided electronically by **Link Intime India Private Limited**, Registrar and Share Transfer Agents (The Registrar and Share Transfer Agent), Surya, 35, Mayflower Avenue, Behind Senthil Nagar, Sowripalayam Road, Coimbatore-641028, pertaining to the financial year ended **31st March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A) 1.a. The Company has not received any requests relating to transfers of securities during the financial year ended 31st March, 2022.

1. b. The Company has delivered during year ended on 31st March, 2022, share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, deletion of holders name, duplicate, change in name and attainment of majority documents, received during the financial year ended 31st March,2022, within prescribed time from the respective date of lodgement.
1. c. There were no requests relating to transposition, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: Nil

UDIN: F008154D000198731

**For SVJS & Associates
Company Secretaries**

JAYAN
KATTIRI

Digitally signed by
JAYAN KATTIRI
Date: 2022.04.25
14:16:57 +05'30'

**Jayan K.
Partner
M No.8154, CoP No.7363**

25.04.2022
Kochi