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हिन्दुस्तान ऑर्गेनिक केमिकल्स लिमिटेड  
(भारत सरकार का उद्यम) CIN: L99999MH1960GOI011895  
HINDUSTAN ORGANIC CHEMICALS LIMITED  
(A Govt. of India Enterprise)

HOC/SEC/Se-com/2019/1/3.

Date: 30<sup>th</sup> April, 2019

To  
Bombay Stock Exchange Ltd.,  
25<sup>th</sup> Floor, DCS- CRD,  
Pheroze Jeejeebhoy Towers,  
MUMBAI - 400 023.

FAX No. : 022 -2723121/3719/2037/2039/2041

Kind Attn. : Mr. Khushro Bulsara / Abhijit Pai,  
Sr.GM, Listing Compliance AGM, L.C.

Dear Sir,


**Sub : Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2019.**

In compliance with Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 relating to transfer and other requests received by the Company pertaining to Certificate/s of equity shares, enclosed please find herewith **Certificate for the half year ended 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** by Practicing Company Secretary, viz. M/s. S.N.Ananthasubramanian & Co.

Kindly take the above on your records and acknowledge its receipt.

Thanking you,

Yours faithfully,  
For Hindustan Organic Chemicals Ltd.,

  
(Mrs. Susheela S. Kulkarni)  
Company Secretary

Encl. : as above.

**S. N. ANANTHASUBRAMANIAN & CO.**

**COMPANY SECRETARIES**

FIRM REGISTRATION NO. P1991MH040400

10/26, BRINDABAN, THANE – 400601 | Tel 25345648/25432704 | Email [snaco@snaco.net](mailto:snaco@snaco.net) | Website [www.snaco.net](http://www.snaco.net)

**CERTIFICATE UNDER REGULATION 40 (9) OF  
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

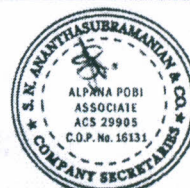
**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2019**

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Hindustan Organic Chemicals Limited** bearing **CIN: L99999MH1960GOI011895** (ISIN INE048A01011) and having its registered office at 401, 402, 403, 4th floor, V Times Square, Sector-15, CBD, Belapur, Navi Mumbai – 400614 (hereinafter referred to as the Company) and maintained by Bigshare Services Private Limited, the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from **1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** (review period) for the purpose of issuing a Certificate under Clause 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date and the Listing Agreement entered into by the Company with **BSE Limited**.

Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that:

During the review period, the Company has issued the following Certificates within thirty days of the date of lodgement, except in fifty (50) cases:-

<u>Event</u>	<u>Number of Certificates</u>	<u>Remarks/ Reasons for non-compliance, if any.</u>
Transfer of Shares	50	As informed by Register Transfer Agents, due to spurt in the volumes of Transfers and Demat requests due to change in the SEBI Regulations (Like change in the Listing Obligations and Disclosure Requirements) Regulations, 2015 banning Physical Transfers w.e.f 5 <sup>th</sup> December, 2018 which was subsequently extended till 31 <sup>st</sup> March, 2019), all Transfer Agents could not process the requests on time. This was a common issue with all the Register Transfer Agents's for FY 2018-2019.



**S. N. ANANTHASUBRAMANIAN & CO.**  
**COMPANY SECRETARIES**  
**FIRM REGISTRATION NO. P1991MH040400**

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No request was received for sub-division, renewal & consolidation during the period under review.

**For S. N. ANANTHASUBRAMANIAN & CO**  
**Company Secretaries**



**Alpana S. Pobi**

**ACS : 29905**

**COP NO. : 16131**

**29<sup>th</sup> April, 2019**

**Thane**