

# POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off : 303/4/5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W),  
Mumbai - 400013 (India)

CIN NO. L72200MH1984PLC094539

December 29, 2020

**BSE Limited**  
1<sup>st</sup> Floor, New Trading Wing,  
Rotunda Building,  
P. J. Towers,  
Dalal Street, Fort,  
Mumbai - 400001  
**Scrip Code: 540717**

**Metropolitan Stock Exchange of India Ltd**  
Vibgyor Towers, 4<sup>th</sup> Floor,  
Plot No.C-62, G- Block,  
Bandra-Kurla Complex,  
Bandra (East),  
Mumbai – 400098  
**Symbol: PQIF**

**Sub:** Disclosure of pursuant to 7 (2) (b) of the SEBI (Prohibition of Insider Trading) Regulation, 2015.

Dear Sir,

In compliance with regulation 7 (2) of the Securities and Exchange Board of India (prohibition of Insider Trading ) regulation 2015 (SEBI Insider Trading Regulations) we forward a copy of the disclosers in form C received from a promoter of Polo Queen Industrial and Fintech Limited.

This is for your information and records

Thanking you,

Yours truly,

**For Polo Queen Industrial and Fintech Limited**

*Vandana*  
**Vandana Yadav**  
Company Secretary



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Tel: (Off) 24935421 (4 Lines) 66615901 Fax: (91-22) 24935420 / 66615900  
E-mail: [info@poloqueen.com](mailto:info@poloqueen.com)

**Viraj Sanghai**  
211, Neelamber, 37 A,  
Peddar Road,  
Mumbai 400 026

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December 29, 2020

**To the Board of Directors**  
**Polo Queen Industrial and Fintech Ltd.**  
304, A to Z Industrial Estate,  
G. K. Marg, Lower Parel.  
Mumbai - 400013

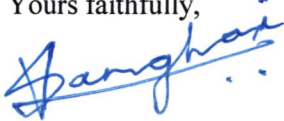
Dear Sir,

Pursuant to Regulation 7(2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015 (The 'Regulations'), please find annexed disclosure in the prescribed Form 'C'.

I request you to kindly take the same on your records and to forward a copy of the same to the listed Stock exchanges as required under Regulation 7 (2) (b) of the Regulations.

Thanking you,

Yours faithfully,



**Viraj Sanghai**  
**Seller and Promoter/Promoter Group**

**FORM C**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015**  
**[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]**

Name of the company: Polo Queen Industrial and Fintech Limited  
SIN of the company: INE689M01017

**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).**

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter s/ KMP / Directors/ immediate relative to/others etc.)	Securities held prior to disposal		Securities Disposed				Securities held post disposal		Date of sale / Disposal of shares specify		Date of intimation to company	Mode of disposal
		Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	Type of security (For eg.- Shares, Warrants, Convertible Debentures etc.)	No.	Value	Transaction Type (Buy/Sale/Pledge / Revoke/ Invoke)	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Mr. Viraj Sanghai PAN: AAJPS 1626B Address: 211,	Promoter/ Promoter Group	Equity shares	49,15,150 (Forty Nine lacs fifteen thousand one	Equity shares	49,15,150 Forty Nine lacs fifteen thousand one	Nil/NA As the share transfer is an inter-se	Disposal	Equity shares	NIL	28.12.2020	28.12.2020	29.12.2020	Inter-se transfer (off-market transaction)

*Sanghai*

Neelambe r, 37 Peddar Road, Mumbai- 400026. Contact No. : 022 24817001			hundred and fifty ) 7.32%		hundred and fifty) 7.32%	transfer by way of gift							
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**Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).**

Open Interest of the Future contracts held as on the date of regulation coming into force			Open Interest of the Option Contracts held as on the date of regulation coming into force		
Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms
15	16	17	18	19	20

*Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.*

**Viraj Sanghai**

**Designation: Promoter / Promoter Group**

**Place: Mumbai**  
**Date: 29.12.2020**