

# S. M. GOLD LIMITED

Registered Office: Shop No. 1 to 3, 2<sup>nd</sup> Floor, 24 Caret Building, Opp. Rok Regency Hotel, Law Garden, C G Road, Ahmedabad - 380009, Gujarat, India

CIN: L74999GJ2017PLC098438 E-mail: [compliancesmgold@gmail.com](mailto:compliancesmgold@gmail.com)

Website: [www.smgoldltd.com](http://www.smgoldltd.com) Ph.: 079-22114411 / 9428980017

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Date: April 27, 2023

To,  
The General Manager-Listing  
Corporate Relationship Department  
BSE Limited  
Phiroze Jeejeebhoy Tower  
Dalal Street  
Mumbai - 400001

Dear Sir / Madam,

Subject: Subject: Certificate in compliance with Regulation 40(9) and 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref.: S. M. Gold Limited (Scrip Code: 542034)

Pursuant to Regulation 40(9) and 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a Certificate received from Company Secretary in Whole Time Practice, , Shushmita Lunkad of M/s S S Lunkad & Associates, Mumbai, for the year ended 31st March 2023.

You are requested to take the same on records, upload at your website & intimate the same to the members of the Stock Exchange.

Thanking You

Yours Faithfully

For, S. M. Gold Limited

Pulkitkumar  
Sureshbhai Shah

Digitally signed by Pulkitkumar  
Sureshbhai Shah  
Date: 2023.04.27 17:36:03  
+05'30'

PULKITKUMAR SURESHBHAI SHAH

Director

DIN: 07878190



## S S LUNKAD & ASSOCIATES Company Secretaries

**Certificate under Regulation 40 (9) Of the Sebi (Listing Obligation and Disclosure Requirements) Regulations, 2015 For The Financial Year Ended On March 31, 2023**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **S. M. Gold Limited** (CIN: L74999GJ2017PLC098438) having its registered office at Shop No. 1 to 3, 2nd Floor, 24 Caret Building, Opp. Rok Regency Hotel, Law Garden, C G Road, Ahmedabad - 380009, Gujarat, India, maintained by KFin Technologies Limited (the RTA) pertaining to transfer of equity shares of the company for the period from **April 01, 2022 to March 31, 2023** for the purpose of issuing a Certificate as per regulation 40 (9) of Securities Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 as amended from time to time and based on the information provided by the Company and RTA, which to the best of our knowledge and belief were necessary for the purpose of our certification and listing agreement entered into by **S. M. Gold Limited** with stock exchanges and based on the information provided by the Company, I hereby certify that the Company has delivered during year ended on March 31, 2023.

- A) The Company has not received any request for share transfer in physical mode, subdivision, consolidation, renewal, exchange or endorsement of calls/ allotment of monies for the period **April 01, 2022 to March 31, 2023**.
- B) The Company has not received any request for duplicate share certificate during the year ended on March 31, 2023.



**S S Lunkad & Associate**  
**CS Sushmita Lunkad**  
**Company Secretaries**  
**Membership No.:** 54915  
**CP No.:** 20418  
**UDIN:** A054915E000192635  
**Peer Review Certificate No. :** 2815/2022

**Date:** 25/04/2023  
**Place:** Mumbai

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sslunkadasso@gmail.com