



April 21, 2023

DGM – Corporate Relations
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001

The Listing Department
National Stock Exchange
Exchange Plaza
Plot No. C-1, Block G
Bandra – Kurla Complex
Bandra (East)
Mumbai – 400 051

Dear Sir / Madam,

Sub.: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please find enclosed the Certificate issued pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended), for the financial year ended March 31, 2023.

This is for your information and records.

Best Regards,

For Tata Elxsi Limited

Cauveri Sriram
Company Secretary & Compliance Officer

engineering creativity

TATA ELXSI

Registered Office **Tata Elxsi Limited** ITPB Road Whitefield Bangalore 560 048 India

Tel +91 80 2297 9123 Fax +91 80 2841 1474

www.tataelxsi.com

(CIN-L85110KA1989PLC009968)



CS Dipali Vora
(B.COM, ACS)

D N VORA & ASSOCIATES

Company Secretaries

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated that the transfer of securities except in the case of transmission or transposition would be carried out only in dematerialized form w.e.f. 01st April, 2019.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to fully paid shares of **Tata Elxsi Limited** ('the Company') maintained and provided electronically by TSR Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- A) 1.a. There were no requests relating to transfer of securities received during the financial year ended 31st March, 2023.
1. b. The Company has delivered/sent during year ended on 31st March, 2023, letters of confirmation as per SEBI's operational guidelines, for execution of requests pertaining to transmission of securities, deletion of name, and sub-division (split), received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgement. As informed to us there were no requests relating to consolidation, renewal, exchange or endorsement of calls/allotment monies received during the said period.

Address: 12, Patel Bhuvan, Daulat Nagar, Road No. 10, Borivali (East), Mumbai- 400066
Email ID: dnvoraandassociates@yahoo.com | **Mob:** 9022688680

B) Any other information, if applicable: -

Date: 12/04/2023

Place: Mumbai

For D N Vora & Associates
Practising Company Secretary



Dipali Natvar Vora
Dipali Natvar Vora
Proprietor

M.P. No.: A4689

COP No.: 21254

UDIN:A046989E000067845