

11<sup>th</sup> April, 2023.

Senior General Manager- Listing Compliance  
BSE Limited, 24<sup>th</sup> Floor,  
P J Towers, Dalal Street,  
Mumbai 400001

Respected Sir,

**Ref.:- Scrip Code: 507864**

**Sub.:- Compliance of Regulation 40(9) of SEBI (LODR) Regulations, 2015 for  
the year ended 31<sup>st</sup> March, 2023.**

In compliance of the captioned Regulation please find enclose herewith certificate from M/s. Parikh Parekh & Associates, Practising Company Secretaries confirming the compliance of the Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2023.

Please acknowledge receipt of this letter.

Thanking you,

Yours truly,  
For **PIONEER INVESTCORP LIMITED**

  
**AMIT CHANDRA  
COMPANY SECRETARY**



Encl.:- as above.



PARIKH PAREKH & ASSOCIATES  
COMPANY SECRETARIES

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Office: 111, 11th floor, Saf-Dwar CHS Ltd., SAB TV Lane, Opp. Laxmi Industrial Estate, Off Link Road, Above Shabari Restaurant, Andheri (West), Mumbai-400 053.  
Tel: 26301232 / 26301233 Email: ca@parikhassociates.com Website: www.parikhassociates.com Firm Unique Code: P1987MH010000

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**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to Pioneer Investcorp Limited ('the Company') maintained and provided electronically by Satellite Corporate Services Pvt. Ltd., Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has not received any requests relating to transfers of securities during the financial year ended 31<sup>st</sup> March, 2023.

1. b. The Company has delivered during year ended on 31<sup>st</sup> March, 2023, share certificates/ letters of confirmation as per SEBI's operational guidelines, for deletion of name received during the financial year ended 31<sup>st</sup> March, 2023, within prescribed time from the respective date of lodgement. As informed to us there were no requests relating to execution of transmission of securities, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: -

Place: Mumbai  
Date: April 10, 2023

Signature:

For Parikh Parekh & Associates  
Company Secretaries

MOHAMMAD  
FAKRUDDIN  
PILLIKANDLU

Digitally signed by MOHAMMAD FAKRUDDIN  
PILLIKANDLU  
DN: cn=IN, o=Personal, postalCode=400058,  
st=Maharashtra,  
2.5.4.20=d9be88e15e6b50c07177769cb8ccbf9a2547792  
3b514a334dd5a9e7b1968072,  
pseudoDnym=EABE37D9F501F5D405FCF36442F9DB326F  
C1E96A,  
serialNumber=572EBCED431E121C4DCBAC818193B641  
2E2B2E04485121C598EDA455A4A37C0B8,  
cn=MOHAMMAD FAKRUDDIN PILLIKANDLU  
Date: 2023.04.10 18:36:14 +05'30'

Mohammad Pillikandlu  
FCS No: 10619 CP No: 14603  
UDIN: F010619E000052782  
PR No.: 723/2020