

# APM FINVEST LIMITED

**Corporate Office:** 910, Chiranjiv Tower-43, Nehru Place, New Delhi-110019  
**Phone:** (011) 26441015-17, **Fax:** (011) 26441018, **Email:** apmfinvestltd@gmail.com  
**CIN No.:** U65990RJ2016PLC054921, **Website:** www.apmfinvest.com

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October 22, 2019

**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400001

**Ref: Stock Code 542774**

**Sub: Certificate pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Pursuant to the Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we enclose herewith the certificate received from **RSM & Co., Company Secretaries**, New Delhi dated **October 19, 2019** for the half year ended September 30, 2019.

Further, we provide Compliance Certificate from August 01, 2019 to September 30, 2019 as our Company obtained listing approval from BSE on August 02, 2019.

This is for your information and records.

Thanking you,

Yours faithfully,  
For **APM Finvest Limited**

  
**Nidhi**  
**Company Secretary**

Encl:- as above

**TO WHOMSOEVER IT MAY CONCERN**

**HALF YERLY COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40(9) OF THE SEBI  
(LODR) REGULATIONS FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **APM FINVEST LIMITED** having at registered office at SP-147, RIICO INDUSTRIAL AREA BHIWADI RJ 301019 maintained by at their share transfer agent **SKYLINE FINANCIAL SERVICES PRIVATE LIMITED** office at D-153A, 1<sup>ST</sup> Floor, Okhla Industrial Area, Phase-1, New Delhi-110020 pertaining to transfer of equity shares of the company for the period from **1<sup>ST</sup> August, 2019 ( date of listing) to 30<sup>th</sup> September 2019** for the purpose of issuing a Certificate as per Regulation 40(9)of the SEBI(LODR) Regulations, 2015 and based on the information provided by the Company/Registrar and Share Transfer Agent, We hereby certify that during half year ended on **30<sup>th</sup> September 2019**.

- A) The Company has delivered during half year ended on 30<sup>th</sup> September 2019 share certificate relating to the Transfer Deeds lodged prior to 1<sup>st</sup> August, 2019 and re-lodged thereafter , received during the period 1<sup>st</sup> August 2019 to 30<sup>th</sup> September, 2019 within 30 days, as entered in the Memorandum of Transfer from the respective date of lodgment of each deed excepting those rejected on technical ground; and
- B) The Company has delivered during half year ended on 30<sup>th</sup> September 2019 all the Share Certificates in respect of requests for exchange of duplicate and split certificates within 30 days of lodgment to the Company, if any.

For **R S M & CO.**  
Company Secretaries





**RAVI SHARMA**  
FCS 4468  
C.P.No. 3666

UDINF004468A000127195

Place: New Delhi  
Date: 19.10.2019