

Dated: 29th May, 2024

To,

Department of Corporate Services

The Manager-Listing Department

Notional Stack Eyebongs of India Lim

BSE Ltd.

P.J. Towers, Dalal Street, Mumbai - 400 001 National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051

Scrip Code: 533203 Stock Code: TARAPUR

Sub : Compliance Certificate under Regulation 24(A) of SEBI (LODR) Regulations, 2015

Scrip Code: 533203 - Tarapur Transformers Limited

Dear Sir (S),

In terms of Regulation 24(A) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Annual Secretarial Compliance Report is enclosed herewith issued by Bhuwnesh Bansal & Associates, Practicing Company Secretary, for the financial year ended on 31st March, 2024

Kindly take the same on your record and oblige. Thanking You,

Yours faithfully

For Tarapur Transformers Limited,

Suresh

More

Suresh Sakharam More Managing Director

(DIN: 06873425)

02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

Secretarial Compliance Report of Tarapur Transformers Limited for the year ended 31st March, 2024

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 20151

To, The Board of Directors, Tarapur Transformers Limited S-112, Rajiv Gandhi Complex, Ekta Nagar, Kandivali (West), Mumbai – 400067

- I, Bhuwnesh Bansal & Associates, Practicing Company Secretary firm have examined:
 - (a) all the documents and records made available to us and explanation provided by **Tarapur Transformers Limited** ("the listed entity"),
 - (b) the filings/ submissions made by the listed entity to the stock exchanges,
 - (c) website of the listed entity,
 - (d) any other document / filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2024 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not Applicable to the Company during the Audit Period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable to the Company during the Audit Period)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; (Not Applicable to the Company during the Audit Period)
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; (Not Applicable to the Company during the Audit Period)
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) Securities and Exchange Board of India (Depositories and Participant) regulations, 2018;

and circulars/guidelines issued thereunder;



02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

I hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

Sr No	Particulars	Compliance Status	Observations/
NO		(Yes/No/NA)	Remarks by PCS
1.	Secretarial Standards:	Yes	-
	The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.		
2.	Adoption and timely updation of the Policies:	Yes	-
	All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities		
	 All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI 		
3.	Maintenance and disclosures on Website:	No	Website of the
	 The Listed entity is maintaining a functional website Timely dissemination of the documents/information under a separate section on the website Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website 		Company is not updated.
4.	Disqualification of Director:	Yes	-
	None of the Director(s) of the Company is/are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.		
5.	Details related to Subsidiaries of listed entities have been examined w.r.t.:		The Listed entity does not have any
	(a) Identification of material subsidiary companies	(a) NA (b) NA	Material Subsidiary.
	(b) Disclosure requirement of material as well as other subsidiaries		
6.	Preservation of Documents:	Yes	-

02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015. 7. **Performance Evaluation:** Yes The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations. 8. **Related Party Transactions:** Yes (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained. 9. Disclosure of events or information: Yes The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder. 10. **Prohibition of Insider Trading:** Yes The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015. 11. Actions taken by SEBI or Stock Exchange(s), if any: No Mentioned Below No action(s) has been taken against the listed entity/ its Annexure 1 promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein (**). 12. Additional Non-compliances, if any: Mentioned Below Yes *Point no (a)



02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

No additional non-compliance observed for any SEBI	
regulation/circular/guidance note etc.	

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

	2	0 "	
Sr	Particulars	Compliance	Observations/
No		Status	Remarks by PCS
		(Yes/No/NA)	
1.	Compliances with the following conditions while appoint	ing/re-appointing ar	n auditor
	i. If the auditor has resigned within 45 days from the	Not Applicable	-
	end of a quarter of a financial year, the auditor before		
	such resignation, has issued the limited review/audit		
	report for such quarter; or		
	·		
	ii. If the auditor has resigned after 45 days from the end		
	of a quarter of a financial year, the auditor before such		
	resignation, has issued the limited review/ audit		
	report for such quarter as well as the next quarter; or		
	iii. If the auditor has signed the limited review/ audit		
	report for the first three quarters of a financial year,		
	the auditor before such resignation, has issued the		
	limited review/ audit report for the last quarter of		
	such financial year as well as the audit report for such		
	financial year.		
	, ,		
2.	Other conditions relating to resignation of statutory auditor	or .	
2.	Other conditions relating to resignation of statutory addition	Ji	
	i. Reporting of concerns by Auditor with respect to the	Not Applicable	-
	listed entity/its material subsidiary to the Audit		
	Committee:		
	a. In case of any concern with the management of the		
	listed entity/material subsidiary such as non-		
	availability of information / non-cooperation by		
	the management which has hampered the audit		
	process, the auditor has approached the Chairman		
	of the Audit Committee of the listed entity and the		
	Audit Committee shall receive such concern		
	directly and immediately without specifically		
	waiting for the quarterly Audit Committee		
	meetings.		
	b. In case the auditor proposes to resign, all concerns		
	with respect to the proposed resignation, along		
	with relevant documents has been brought to the		
<u></u>	in the state of th		



02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information/explanation sought and not provided by the management, as applicable. c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor. ii. Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor. The listed entity / its material subsidiary has obtained Not Applicable information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/ CFD/CMD1/114/2019 dated 18th October, 2019.

(a) * The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

Sr	Compliance	Regulati	Deviati	Actio	Type	Details of	Fine	Obser-	Manage-	Remar
No	Requiremen	on/	ons	n	of	Violation	Amount	vations/	ment	ks
	t	Circular		Taken	Actio			Remarks	Response	
	(Regulation	No.		by	n			of the		
	s/							Practicing		
	circulars/							Company		
	guidelines							Secretary		
	including									
	specific									
	clause)									
1	Regulation	Regulati	Audite	NSE	Fine	Audited	NSE (Rs.	Company	Audited	-
	33 of SEBI	on 33	d	& BSE		financial	466100) &	has	results	
	(LODR)		financia			results for	BSE (Rs.	submitted	submitted	
	Regulations		I results			FY 2022-	466100)	audited	on 17 th	
	, 2015		for FY			23 has not		financial	July, 2023	
			2022-23			been		results for		
			has not			submitted		FY 2022-		
			been					23 on 17 th		



02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

		 I					 I			<u> </u>
			submitt			on due		July, 2023		
			ed on			date.		(delayed		
			due					by 47		
			date					days)		
	5 1 11	5		NIOF			NIOE (D		5 "	
2	Regulation	Regulati	Un	NSE	Fine	Un	NSE (Rs.	Company	Results	-
	33 of SEBI	on 33	audited	& BSE		audited	448400) &	has	submitted	
	(LODR)		quarterl			quarterly	BSE (Rs.	submitted	on 27 th	
	Regulations		У			financial	448400)	Unaudited	March	
	, 2015		financia			results for		quarterly	2024	
			I results			quarter		financial		
			for			ended on		results for		
			quarter			30 th		quarter		
			ended			Septembe		ended on		
			on 30 th			r, 2023 has		30 th		
			Septem			not been		September		
			ber,			submitted		on 27 th		
			2023			on due		March		
			has not			date		2024,		
			been					(delayed		
			submitt					by 134		
			ed on					days)		
			due							
			date							
3	Regulation	Regulati	Un	NSE	Fine	Un	NSE (Rs.	Company	Results	-
	33 of SEBI	on 33	audited	& BSE		audited	453700) &	has	submitted	
	(LODR)		quarterl			quarterly	BSE (Rs.	submitted	on 28 th	
	Regulations		У			financial	453700)	Unaudited	March	
	, 2015		financia			results for		quarterly	2024	
			I results			quarter		financial		
			for			ended on		results for		
			quarter			31 st		quarter		
			ended			December		ended on		
			on 31st			2023 has		31st		
			Decemb			not been		December,		
			er, 2023			submitted		2023 on		
			has not			on due		28th March		
			been			date		2024,		
			submitt			uate				
								(delayed		
			ed on					by 43		
			due					days)		
]		date							
	Dogulatia:	Domilet!	Oriontan	NICE	F!	Ougated	NICE /D-	Commission	Ougantanic	
4	Regulation	Regulati	Quarter	NSE	Fine	Quarterly	NSE (Rs.	Company	Quarterly	-
4	Regulation 27(2) of SEBI	Regulati on 27	Quarter ly complia	NSE & BSE	Fine	Quarterly complianc e report	NSE (Rs. 70800) &	Company has submitted	Quarterly compliance report on	-



02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

			 I							1
	(LODR)		nce			on	BSE (Rs.	quarterly	Corporate	
	Regulations		report			Corporate	70800)	complianc	Governanc	
	, 2015		on			Governan		e report	е	
			Corpor			ce for		on	submitted	
			ate			quarter		Corporate	on 18	
			Govern			ended on		governanc	April, 2024	
			ance for			31 st		e on 18 th		
			quarter			December		April,		
			ended			2023 has		2024		
			on 31st			not been		(delayed		
			Decemb			submitted		by 88		
			er 2023			on due		days)		
			has not			date		3 ,		
			been							
			submitt							
			ed on							
			due							
			date							
			5.5.7.5							
5	Regulation	Regulati	The	-	-	The	-	After	-	-
	6(1) of SEBI	on 6(1)	Compa			Company		resignatio		
	(LODR)		ny has			has not		n of		
	Regulations		not			appointed		Company		
	, 2015		appoint			Company		Secretary		
			ed			Secretary		on 3 rd		
			Compa			from 3 rd		October		
			ny			October		2023		
			Secretar			2023		Company		
			y from					has not		
			3rd					appointed		
			October					Company		
			2023					Secretary		
								,		
7	Regulation	Regulati	The	-	-	The	-	After	-	-
	6(1) of SEBI	on 6(1)	Compa			Company		resignatio		
	(LODR)		ny has			has not		n of Chief		
	Regulations		not			appointed		Financial		
	, 2015		appoint			Chief		officer		
			ed			Financial		(CFO) on		
			Chief			officer		6 th		
			financia			(CFO)		November		
			I officer			from 6th		2023		
			(CFO)			Novembe		Company		
			from 6 th			r 2023		has not		
			Novem					appointed		
			ber 2023					Chief		
			_					Financial		
			<u> </u>	l	<u> </u>					l



02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

				officer	
				(CFO)	

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

No Requirement (Regulations / Circular No. (Regulations / Circulars / guidelines including specific clause) Regulation 18 of SEBI (LODR) Regulations, 2015 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regu		<u>'</u>									
Company Secretary Company Secretary	Sr	Compliance	Regulation/	Deviations	Actio	Ту	Details	Fine	Obser-	Manag	Remark
Circulars/ guidelines including specific clause) Particular Part	No	•	Circular No.								S
guidelines including specific clause) 1 Regulation 18 of SEBI (LODR) Regulations 2015 2 Regulation 23(9) of SEBI (LODR) Regulations 2015 3 Regulations 2015 4 Regulation 18 of SEBI (LODR) Regulation 2015 3 Regulations 2015 4 Regulations 2015 4 Regulation 2015 4 Regulation 2015 5 To Requisite Ouorum of Audit Committe evas not presented in the meeting of Audit Committee was not presented in the meeting of Audit Committee was not presented in the meeting of Audit Committee was not presented in the meeting of Audit Regulation 2015 5 To Regulation 2015 6 SEE 8 Fin Disclo 41300 Company 2022 8 SEE 8 Fin Disclo 41300 Company 2015 6 ST) delayed file submit 1 Regulation 2015 6 ST) delayed file paid fine submit 1 Regulation 2015 8 SEE 8 Fin Disclo 41300 Company		_				of	Violati	t		Respo	
Including specific clause) Regulation 18 of SEBI (LODR) Regulations, 2015 Regulation 2015 Regulation Regulation 18 (LODR) Regulations, 2015 Regulations, 2015 Regulation 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 23(9) of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015					by	Ac	on			nse	
specific clause) Regulation 18 of SEBI (LODR) Audit Committee was not presented in the meeting (LODR) Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 23(9) of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations Audit Committee and in the meeting of board meeting of board meeting of board meeting of change in board of directors has been added to the sure of board meeting of change in board of directors has been added to the sure of board meeting of change in board of directors has been added to the sure of board meeting of change in board of directors has been added to the sure of board meeting of change in board of directors has been added to the sure of the s		· ·				tio			Practicing		
Clause Regulation Regulation 18 of SEBI (LODR) Regulations, 2015 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation Audit Committee was not presented in the meeting Committee was not presented in the meeting Regulation Regulations Regulation Regulation Regulation Regulation Regulations Regulation Regulati		· ·				n					
1 Regulation 18 of SEBI (LODR) Regulations, 2015 Regulation 2015 Regulation 2015 Regulation 3 of SEBI (LODR) Regulations, 2015 Regulation 3 of SEBI (LODR) Regulations, 2015 Regulation 3 of SEBI (LODR) Regulations, 2015 Regulation 2015 Regulation 3 of SEBI (LODR) Regulations, 2015 Regulations, 20									Secretary		
18 of SEBI (LODR) Regulations, 2015 2015 Regulation Regulation 2015 Regulation 2015 Regulation 2015 Regulation 2015 Regulation 2015 Regulation 2016 Regulation 2016 Regulation 2017 Regulation 2018 Regulation 2019 Regulation 2019 Regulation 2019 Regulation 2015 Regulation 2015 Regulation 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation 2015 Regulation 30 of SEBI (LODR) Regulatio		clause)									
18 of SEBI (LODR) Regulations, 2015 2015 Regulation 2015 Regulation 2015 Regulation 2015 Regulation 2015 Regulation 3016 Regulation 2015 Regulation 3016 Regulation 3015 Regulation 301	1	Regulation	Regulation	Requisite	_	_	Requis	_	Requisite	Due to	_
CLODR) Regulations, 2015 Audit Committee was not presented in the meeting Committee was not presented in the directo meeting Committee was not presented in the meeting Committee was not presented Committee was not p	'	~	_	=			-		=		
Regulations, 2015 Regulations, 2015 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 30 of SEBI (LODR) Regulation, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015			10							_	
2015 not presented in the meeting littee was not presented in the meeting littee was not presented in the meeting littee was not presented in the meeting not presented in the meeting pheld on 30th May 2022 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of Girectors has of directors has not presented in the meeting and presented in the meeting presented in the meeting and presented in the meeting presented in the meeting and presented in the director or on 5th May 2022 2015											
in the meeting line the meeting line the meeting littee was not presented in the meeting presented in the meeting presented in the meeting presented in the meeting on 30th May 2022 2 Regulation 23(9) of SEBI (LODR) Regulations, 2015 3 Regulation 30 of SEBI (LODR) Regulation, 2015 3 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 2015 3 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 2015 3 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 4 Touch and the meeting interest and the meeting of board meeting regarding change in board of directors has		· ·									
2 Regulation 23(9) of SEBI (LODR) Regulation, 2015 Regulation, 30 of SEBI (LODR) Regulation, 2015 Regulation, 30 of SEBI (LODR) Regulation, 30 of SEBI (LODR) Regulation, 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation, 2015 Regulation, 30 of SEBI (LODR) Regulation, 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation, 30 of SEBI (LODR) Regulation, 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation, 30 of SEBI (LODR) Regulation, 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015		2010		=						-	
2 Regulation 23(9) of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation of directors has of directors has on the present ted in the meetin and the meetin of present ted in the meetin and the meetin of present ted in the meetin and the meetin of present ted in the meetin and the meetin of paid fine and the meetin of board of directors has on the meetin of present ted in the meetin and the meetin of paid of the meetin of board of directors has on the meetin of paid of the meetin of and of the meetin of paid of the meetin				the mouning					T		
2 Regulation 23(9) of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, Regulation, Regulation, Regulation, Regulation, Regulation, Regulation, 2015 3 Regulation Regulation 30 of SEBI (LODR) Regulation, Reg											
2 Regulation 23(9) of SEBI (LODR) Regulations, 2015 3 Regulation 30 of SEBI (LODR) Regulation Regulation 30 of SEBI (LODR) Regulations, 2015 4 Regulation 23(9) Outcome of board meeting regarding change in board of directors has on the content of the content o											
2 Regulation 23(9) of SEBI (LODR) Regulations, 2015 3 Regulation 30 SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 2015 3 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation, 2015 4 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 4 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 4 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 4 Regulation 30 of SEBI (LODR) Regulation of directors has 30 of SEBI (LODR) Regulations, 2015 4 Regulation 30 of SEBI (LODR) Regulation of directors has 30 of SEBI (LODR) Regulations, 2015										_	
2 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations, 2015 Regulation 30 of Girectors has below the meetin of board meeting regarding change in board of directors has below the meetin greatly and							•				
2 Regulation 23(9) of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulations, 2015 Regulations 30 of SEBI (LODR) Regulations, 2015 Regulations Regulations, 2015 Regulations Regulation and delaye of directors has Regulation and delaye regarding change in board of directors has Regulation and delaye regarding change in board of directors has Regulation and delaye regarding change in board of directors has Regulation and delaye regarding change in delaye regarding change regar											
2 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation of directors has 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation of directors has 2015							meetin				
2 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation of directors has 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation of directors has 2015							g held				
2 Regulation 23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation Regulation 23(9) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulation 30 of Glirectors has 30							on 30 th				
2 Regulation 23(9) of SEBI (LODR) Regulation, 2015 3 Regulation 30 of SEBI (LODR) Regulation, 2015 Regulation 23(9) Regulation Regulation 23(9) A Regulation 23(9) BSE & Fin Disclo sure submit ted of board delaye d by 7 days BSE & Fin Disclo (includi paid fine any paid fine delaye d by 7 days A Regulation 30 of SEBI (LODR) Regulation Regulation (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 directors has below the fine delaye of directors has delayed filing Disclo A1300 Company (includi paid fine any paid fine delaye any paid fine delaye included any paid fine any paid fine any paid fine delaye included any paid fine any							May				
23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation							2022				
23(9) of SEBI (LODR) Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of SEBI (LODR) Regulations, 2015 Regulation		Demiletter	Demolatics	Dalaya J. 600-	DCE 2	E!	Divili	41200	0	0.5	
CLODR) Regulations, 2015 Submit ted GST) Submit ted GST)	2	~	_	Delayed filing							-
Regulations, 2015 Regulation Regulation 30 of SEBI (LODR) Regulations, 2015 Regulations, 2015 Regulation 30 of directors has ted delaye d by 7 days Regulation Outcome of board meeting regarding change in board of directors has fine fine delaye delaye and by 7 days - Outcome of meeting of board meeting board meeting regarding delaye regarding delaye regarding of directors has fine fine delaye and by 7 days - Outcome of meeting of board meeting regarding delaye regarding delaye regarding of directors has fine fine fine delaye and by 7 days - Outcome of board meeting of board meeting regarding delaye regarding of directors has fine fine fine delaye and by 7 days - Outcome of board meeting of board meeting regarding delaye regarding of directors has fine fine fine fine fine fine fine fine			23(9)		INSE	е		-	paid fine	-	
2015 Regulation 30 of SEBI (LODR) Regulations, Regulations, 2015 Regulations, 2015 Regulation Accordance of BSE & - Outco - Outcome of board meeting board meeting change in board of directors has greatly and board meeting change in board of directors has greatly adventured by the board of delaye change in board of directors has greatly adventured by the board meeting change in board of directors has greatly adventured by the board meeting change in board of directors has greatly adventured by the board meeting change in board of directors has greatly adventured by the board meeting change in board of directors has greatly adventured by the board meeting change in board of directors has greatly adventured by the board meeting change in board of directors has greatly adventured by the board of board meeting change in board of directors has greatly adventured by the board of board meeting change in board of directors has greatly adventured by the board of board meeting change in board of directors has greatly adventured by the board of board meeting change in board of directors has greatly adventured by the board of directors has greatly adventur		-						_		-	
3 Regulation Regulation Outcome of BSE & - Outco - Outcome Submi - of board meeting Regulations, Regulations, 2015 of directors has do days - Outco - Outcome Submi - of board meeting hoard meeting hoard meeting regarding change in board of directors has - Outco - Outcome Submi - of board meeting hoard meeting delaye regarding do change in board of directors has - Outcome Submi - of board meeting hoard meeting hoard meeting regarding do change in board of directors has - Outcome Submi - Outcome Submi - Outcome Submi - Outcome Outcome Outcome Submi - Outcome Out		•						GS1)		Tine	
3 Regulation Regulation Outcome of board meeting NSE me of (LODR) Regulations, 2015 Change in board of directors has Capabase Adays Change in days Capabase Change in days Capabase Cap		2015					_				
3 Regulation Regulation Outcome of BSE & - Outco - Outcome Submi - 30 of SEBI 30 board meeting NSE me of (LODR) Regulations, Change in board of directors has g change in											
30 of SEBI 30 board meeting NSE me of (LODR) regarding change in board of directors has me of board meeting delaye regarding d change in board g change in							uays				
30 of SEBI 30 board meeting NSE me of (LODR) regarding board meeting board meeting delaye Regulations, 2015 of directors has meetin g change in	3	Regulation	Regulation	Outcome of	BSE &	-	Outco	-	Outcome	Submi	-
(LODR)regardingboardmeetingdelayeRegulations,change in boardmeetinregardingd2015of directors hasgchange in		30 of SEBI	_	board meeting	NSE		me of		of board	tted	
Regulations, change in board meetin regarding d change in g change in		(LODR)		-			board		meeting	delaye	
2015 of directors has g change in		Regulations,					meetin		-	-	
		_		-			g				
				not been			regard		_		

02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

			submitted on			ing		directors		
			time			change		has not		
						in		been		
						board		submitted		
						of		on time		
						directo				
						rs has				
						not				
						been				
						submit				
						ted on				
						time				
4	SDD	SDD	Delayed filing	-	-	Certifi	-	Certificate	submit	-
	Compliance	Compliance				cate		submitted	ted	
	Certificate	Certificate				submit		delayed	delaye	
	Pursuant to					ted		by 16 days	d	
	SEBI (PIT)					delaye				
	Regulations,					d by				
	2015					16				
						days				

Annexure 1

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	NSE & BSE	Regulation 33 of SEBI (LODR) Regulations, 2015 for Audited financial results for FY 2022-23	NSE (Rs. 466100) & BSE (Rs. 466100)	Company has not paid fine.
2	NSE & BSE	Regulation 33 of SEBI (LODR) Regulations, 2015 for quarter ended on 30 th September, 2023	NSE (Rs. 448400) & BSE (Rs. 448400)	Company has not paid fine.
3	NSE & BSE	Regulation 33 of SEBI (LODR) Regulations, 2015 for quarter	NSE (Rs. 453700) & BSE (Rs. 453700)	Company has not paid fine.



02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

		ended on 31st		
		December, 2023		
4	NSE & BSE	Regulation 27(2)	NSE (Rs. 70800) & BSE (Rs.	Company has not
		of SEBI (LODR)	70800)	paid fine.
		Regulations,		
		2015 for		
		Quarterly		
		compliance report on		
		Corporate		
		Governance for		
		quarter ended		
		on 31st		
		December 2023		
5	SEBI	Under Section	Summons for production of	-
		11C (3) / 11C (5)	documents before Investigating	
		of the SEBI Act,	Authority	
		1992	Mr. Rajendra Choudhary	
			Mr. Suresh Kumar Choudhary	
			Ms. Reena Omprakash Trivedi	
			Mr. Michael Dalmet	
			Mr. Anil Gupta	

Place: Mumbai For Bhuwnesh Bansal & Associates

Date: 25.05.2024

BHUWNES

Topini, upwah p 80000000000.
The class Americal postal and sell (1), this base

The Control Control (1), this base

The BANSAL

T

Bhuwnesh Bansal Proprietor FCS No. – 6526 CP No. – 9089 UDIN - F006526F000450563

Peer Review Certificate No.: 1708/2022

Note: This report is to be read with my letter of even date which is annexed as 'ANNEXURE A' and forms an integral part of this report.

02, Dreamland Park C Wing CHS Ltd. Gokuldham Road, Near Vijay Park Mira Road (E), Thane 401 107 Mobile – 7738181976 Email- csbbansal@gmail.com

ANNEXURE A

To, **Tarapur Transformers Limited** S-112, Rajiv Gandhi Complex, Ekta Nagar, Kandivali (West), Mumbai – 400067

My report of even date is to be read along with this letter: -

- 1. Maintenance of record is the responsibility of the management of the listed entity. My responsibility is to express an opinion on these records based' on my verification of the same.
- 2. I have followed the practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the secretarial records. I believe that the processes and practices we followed provide a reasonable basis for my opinion.
- 3. I have not verified the correctness and "appropriateness of financial records and Books of Accounts of the listed entity.
- 4. Wherever required, I have obtained the Management representation about the compliance of SEBI laws, rules and regulations thereof.
- 5. The compliance of the provisions of SEBI laws, rules, regulations is the responsibility of management. My examination was limited to the verification of compliances done by the listed entity.
- 6. As regards the books, papers, forms, reports and returns filed by the listed entity under the above-mentioned regulations, the adherence and compliance to the requirements of the said regulations is the responsibility of management. Our examination was limited to checking the execution and timeliness of the filing of various forms, reports, returns and documents that need to be filed by the listed entity under the said regulations. I have not verified the correctness and coverage of the contents of such forms, reports, returns and documents.
- 7. This report is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Place: Mumbai Date: 25th May, 2024 For Bhuwnesh Bansal & Associates

BHUWNES

Or Home John State Comment Co

Bhuwnesh Bansal Proprietor FCS No. – 6526 CP No. – 9089

UDIN - F006526F000450563 Peer Review Certificate No.: 1708/2022