

Date: 17/10/2020

To  
**The General Manager-Listing**  
Corporate Relationship Department  
The BSE Limited  
Phiroz Jeejeebhoy Tower  
Dalal Street, Mumbai-400001

**SUBJECT: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR), 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2020**

**REF: GAUTAM GEMS LIMITED (Scrip Code: 540936 )**

Dear Sir/ Madam,

With reference to above subject and pursuant to provision of regulation 40(9) of SEBI (Listing obligation and Disclosure Requirement) Regulations, 2015, please find the attached Compliance Certificate for the Half year ended 30th September, 2020.

Please take the same on your record and oblige.

Thanking You

For, GAUTAM GEMS LIMITED



**GAUTAM PRAVINCHANDRA SHETH**  
**MANAGING DIRECTOR**



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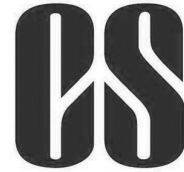
# **SHIKHA PATEL & ASSOCIATES**

*Company Secretaries*

*Shikha Patel*

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## **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30<sup>th</sup> September, 2020**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **GAUTAM GEMS LIMITED** maintained by **KFin Technologies Private Limited** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2020** to **30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per regulation 40 (9) of SEBI (LODR) Regulations, 2015 and listing agreement entered into by **GAUTAM GEMS LIMITED** with stock exchanges and basing on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2020**.

- A) Share Certificate relating to the Share Transfer forms received during the period from **1<sup>st</sup> April, 2020** to **30<sup>th</sup> September, 2020** as entered in the Memorandum of Transfers have been issued within **30(Thirty) days** from respective date of lodgment of each form excepting those rejected on technical grounds.
- B) The Company has not received any request for duplicate share certificate during the six months period ended on **30<sup>th</sup> September, 2020**

**FOR, SHIKHA PATEL & ASSOCIATES  
(PRACTICING COMPANY SECRETARIES)**

**CS SHIKHA PATEL  
(PROPRIETOR)  
MEM. NO: 43955  
COP NO: 16201  
UDIN: A043955B000984653**



**Place: Ahmedabad  
Date: 17/10/2020**