



## Smiths & Founders (India) Limited

SFIL/COSEC/BSE/Cert.40(9)/HY-01/2019-20  
Tuesday, October 22, 2019

The Department of Corporate Relations,  
Bombay Stock Exchange Limited  
P.J. Towers, 25<sup>th</sup> Floor, Dalal Street,  
Mumbai-400 001

Dear Sirs,

**Sub: Certificate Under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref: Scrip Code: 513418**

Please find enclosed, Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 30.09.2019, dated 21.10.2019, issued by Mr. V. Shivaprakash, Company Secretary in Practice.

Please take the same on record.

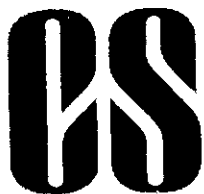
Thank you,

Yours truly,  
Smiths & Founders (India) Limited

*Roopashree*

Roopashree B Shettigar  
Company Secretary & Compliance Officer  
Encl: as above





**VISHWANATH SHIVPRAKASH**

**COMPANY SECRETARY**

# 29/2, G K Manor, Nehru Circle, Seshadripuram, Bangalore - 560 020

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**CERTIFICATE**

**IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

At the request of Smiths & Founders (India) Limited, I have examined the relevant share transfer deeds, records, registers relating to transfers as maintained and made available at Integrated Registry Management Services Private Limited, Bangalore, for the period from 01.04.2019 to 30.09.2019 for the purpose of rendering certificate pursuant to regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of such examination and on the basis of information/ records provided to me and to the best of my knowledge, I certify that:

The Company has complied with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by effecting transfers of shares and by issuing certificates within a period of thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies except in cases either where the documents were defective and returned to the lodgers of shares for transfers or where "Sellers' Confirmation Notices" have been issued or where cases are pending before Courts of Law/Quasi Judicial Authority.

21.10.2019  
Bangalore

**V SHIVPRAKASH**  
CP No 7123



UDIN: A007488A000134175