



June 04, 2021

BSE Limited  
Corporate Relation Department  
1st Floor, New Trading Ring  
Rotunga Building Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai - 400 001  
Stock code: 534328

National Stock Exchange of India Limited,  
Listing Department,  
Exchange Plaza,  
Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051  
Stock code: HEXATRADEX

Sub. : Annual Secretarial Compliance Report for the Financial Year 2020-21.

Dear Sirs,

This is with reference to the provisions of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, please find attached Annual Secretarial Compliance Report of the Company for the Financial Year 2020-21 issued by M/s Dwivedi & Associates, Company Secretaries.

This is for your information and record please.

Thanking you,  
Yours faithfully,  
For Hexa Tradex Limited

PRAVESH  
SRIVASTAVA

Digitally signed by PRAVESH SRIVASTAVA  
DN: cn=PRAVESH SRIVASTAVA,  
2.5.4.20=91030311211006661749651046667089,  
2.5.4.42=Pravesh Srivastava,  
serial=110074, email=101302@HEXA242A,  
c=IN, o=HEXA TRADEX LIMITED,  
ou=HEXA TRADEX LIMITED,  
cn=PRAVESH SRIVASTAVA,  
email=pravesh@hexatradex.com,  
Date: 2021.06.04 17:00:51 +05'30'

Pravesh Srivastava  
Company Secretary  
ACS-20993

**Hexa Tradex Limited | [www.hexatradex.com](http://www.hexatradex.com)**

Jindal Centre, 12, Bhikaiji Cama Place, New Delhi - 110066, Phone: +91 (11) 26188360-74 Fax: +91 (11) 26170691  
Regd. Office: A-1, UPSIDC, Indl. Area, Nandgaon Road, Kosi Kalan, Distt. Mathura (U.P.) - 281403

CIN : L51101UP2010PLC042382

# DWIVEDI & ASSOCIATES

## Company Secretaries

### **Secretarial Compliance Report of Hexa Tradex Limited for the year ended on 31<sup>st</sup> day of March, 2021**

We, Dwivedi & Associates, a firm of practicing Company Secretaries, have examined:

- a) all the documents and records made available to us and explanation provided by Hexa Tradex Limited (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the BSE Limited and National Stock Exchange of India Limited (hereinafter to be referred as “Stock Exchanges”)
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> day of March, 2021 (“Review Period”) in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- c) The listed entity has complied with the provision of Clause 6(A) and 6(B) of the circular CIR/CFD/CMD1/114/2019 dated October 18, 2019 issued by Securities and Exchange Board of India.
- d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

<b>Sr. No.</b>	<b>Action Taken By</b>	<b>Details of Violation</b>	<b>Details of action taken e.g. fines, warning letter, debarment etc.</b>	<b>Observations/ remarks of the Practicing Company Secretary, if any</b>
N.A.	N.A.	NIL	NIL	None

- e) The listed entity has taken the following actions to comply with the observations made in previous reports:

# Dwivedi & Associates

Company Secretaries

<b>Sr. No.</b>	<b>Observations of the Practicing Company Secretary in the previous reports</b>	<b>Observations made in the secretarial compliance report for the year ended</b>	<b>Actions taken by the listed entity, if any</b>	<b>Comments of the Practicing Company Secretary on the actions taken by the listed entity</b>
	None	NA.	NA.	None

<b>Place: New Delhi</b> <b>Date: 04/06/2021</b>	<p style="text-align: right;"><b>FOR DWIVEDI &amp; ASSOCIATES</b> <b>COMPANY SECRETARIES</b></p> <p style="text-align: right;">AWANISH SH KUMAR DWIVEDI DI</p> <p style="text-align: right;">Digitally signed by AWANISH KUMAR DWIVEDI Date: 2021.06.04 11:59:58 +05'30'</p> <p style="text-align: right;"><b>CS AWANISH DWIVEDI</b> <b>FCS- 8055, CP NO.- 9080</b> <b>UDINF008055C000419829</b></p>
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PAN- AHWPD3050C

GSTIN: 07AHWPD3050C1ZM

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