



GMM/SEC/2024-25/04

April 10, 2024

To,  
BSE Ltd  
Scrip Code: 505255

NSE Ltd  
Symbol: GMPFAUDLR

**Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024.**

Enclosed please find the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the year ended March 31, 2024 issued by Rathi & Associates, Company Secretaries, Mumbai.

Kindly take the same on record and acknowledge receipt of the same.

Thanking you.

Yours faithfully,

For **GMM Pfaudler Ltd**

**Mittal Mehta**  
**Company Secretary & Compliance Officer**  
**FCS No.: 7848**

**GMM Pfaudler Ltd.**

Corporate Office: 902 VIOS Tower, New Cuffe Parade, Sewri-Chembur Rd, Mumbai 400037  
Registered Office & Works: Vithal Udyog Nagar, Anand - Sojitra Road, Karamsad - 388325  
O: +91 22 6650 3900 | F: +91 2692 661888 | CIN: L29199GJ1962PLC001171  
W: www.gmmpfaudler.com | E: sales@gmmpfaudler.com

# Rathi & Associates

## COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.  
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI**  
**(LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015**

We have examined all relevant records relating to **GMM Pfaudler Limited** (hereinafter referred to as "**the Company**") for the period from **April 01, 2023 to March 31, 2024**, maintained by **Link Intime India Private Limited** (hereinafter referred to as "**the Registrar & Share Transfer Agents**" or "**the RTA**") produced before us for the purpose of issuing a certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, as amended, read with SEBI Notifications dated May 5, 2021 and January 24, 2022 and SEBI Circular No. SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2022/8 dated January 25, 2022, and based on our examination, information and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the financial year ended **March 31, 2024**, the RTA has issued Letter of Confirmation, within the stipulated time period, as per SEBI's operational guidelines, as the case may be in respect of application lodge for:

- transmission of securities;
- deletion of name of the deceased holder(s) of securities; and
- issue of duplicate securities certificate.

We further certify that no requests were received for transfer or transposition of shares, sub-division, consolidation, renewal, exchange, or endorsement of calls / allotment monies of shares held in physical mode.

For **RATHI & ASSOCIATES**  
**COMPANY SECRETARIES**



A handwritten signature in blue ink, appearing to read "Jayesh M. Shah", written over the printed name.

**JAYESH M. SHAH**  
**PARTNER**

**MEM. NO.: FCS 5637**

**COP. NO.: 2535**

**UDIN: F005637F000065554**

**P.R. CERT. NO.: 668/2020**

**Place: Mumbai**

**Date: April 09, 2024**