



HERCULES HOISTS LIMITED

Ref: KSM/CM6

Date: 26-06-2020

Listing Department
The Bombay Stock Exchange Limited,
Phiroze jeejeebhoy Towers
Dalal Street, Mumbai- 400023
[Scrip Code- 505720]

Listing Department,
National Stock Exchange of India Limited
Exchange Plaza,
Bandra-Kurla Complex,
Bandra (East), Mumbai-400051
[Scrip Code HERCULES EQ]

Sub.: Annual Secretarial Compliance Report for the Financial Year ended March 31, 2020

Dear Sir/Madam

In compliance with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated February 8, 2019 ("SEBI Circular"), please find enclosed the Annual Secretarial Compliance Report for the financial year ended March 31, 2020.

We request you to take the above on record and that the same be treated as compliance under the applicable provisions of the SEBI Circular.

Thanking you,

For Hercules Hoists Limited,

KIRAN Digitally signed
by KIRAN
Kiran Mukadam
Company Secretary
2020.06.26
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Encl: As Above

Corporate Office
501 to 504, Shelton Cubix
Sector 15, Plot No. 87
CBD Belapur, New Mumbai 400614
Maharashtra INDIA

T: +91 022 45717300/01
E: indef@indef.com
U: www.indef.com
Works: Khalapur & Pune

Registered Office
Bajaj Bhawan, 2nd Floor
226, Jammalal Bajaj Marg
Mumbai 400 021, INDIA
CIN: L45400MH1962PLC012385





S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

10/25-26, 2nd Floor, Brindaban,
Thane (W) - 400 601
T: +91 22 25345648 | +91 22 25432704
E: snaco@snaco.net | W: www.snaco.net
ICSI Unique Code: P1991MH040400

To,

Hercules Hoists Limited

Bajaj Bhawan, 2nd Floor, 226,
Jamnalal Bajaj Marg,
Nariman Point Mumbai – 400021

Sir/ Madam,

Annual Secretarial Compliance Report for the Financial Year 2019-20

We have been engaged by **Hercules Hoists Limited** (hereinafter referred to as 'the Company / Listed Entity') bearing CIN: **L45400MH1962PLC012385** whose equity shares are listed on National Stock Exchange of India Limited (Symbol: Hercules) and BSE Limited (Security Code: 505720 to conduct an audit in terms of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended, read with SEBI's Circular No. CIR/CFD/CMD1/27/2019 dated 08th February, 2019 and to issue the Annual Secretarial Compliance Report thereon.

It is the responsibility of the management of the Company to maintain records, devise proper systems to ensure compliance with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and to ensure that the systems are adequate and are operating effectively.

Our responsibility is to verify compliances by the Company with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and issue a report thereon.

Our audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications as considered necessary and adequate for the said purpose.

The Annual Secretarial Compliance Report in the prescribed format is enclosed

**MALATI
ANIL
KUMAR**

Digitally signed by MALATI ANIL
KUMAR
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ANIL KUMAR,
serialNumber=b75cebface23eb949
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46c50133be1155a,
postalCode=400610,
2.5.4.20=c59c34de66761a7edff0e44
8f8008d109a4f26ce501c580047e9a
3a67d8d816, st=Maharashtra
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Malati Kumar

Partner

ACS: 15508 | COP: 10980

12th June, 2020 | Thane



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Thane (W) – 400 601
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SECRETARIAL COMPLIANCE REPORT
OF HERCULES HOISTS LIMITED
FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2020

We have examined:

- (a) all the documents and records made available to us and explanations provided by **Hercules Hoists Limited** ('the listed entity');
- (b) filings/ submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification;

for the financial year ended **31st March, 2020** ('Review Period'), in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, circulars, guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956 ('SCRA'), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ('SEBI').

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 - **Not applicable as there was no reportable event during the financial year under review;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 – **Not applicable as there was no reportable event during the financial year under review;**





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Company Secretaries

- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 – **Not applicable as there was no reportable event during the financial year under review;**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 – **Not applicable as there was no reportable event during the financial year under review;**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 – **Not applicable as there was no reportable event during the financial year under review;**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued there under;

and based on the above examination, we hereby report that, during the review period:

The listed entity has complied with the provisions of SEBI circular CIR/CFD/CMD1/114/2019 dated 18th October 2019 on changes in terms of appointment of Statutory Auditors, the Company has amended the terms of appointment of the Statutory Auditor to give effect to the said Circular.

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under;
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of those records;
- (c) Following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken [E.g.: fines, warning letter, debarment etc.,]	Observations/ Remarks of the Practicing Company Secretary, if any
NIL				





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- (d) The listed entity has taken the following actions to comply with the observations made in previous reports – **Not Applicable since there was no observation in the previous Report/ clean report and accordingly no action was required to be taken by the Company.**

For S. N. ANANTHASUBRAMANIAN & Co.
Company Secretaries
ICSI Unique Code P1991MH040400
Peer Review Cert. No.: 606/2019

MALATI
ANIL
KUMAR

Digitally signed by MALATI ANIL
KUMAR
DN: c=IN, cn=Personal, cn=MALATI
ANIL KUMAR,
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Date: 2020.06.12 17:49:20 +05'30'



Malati Kumar
Partner

ACS : 15508
COP No. : 10980

ICSI UDIN : A015508B000338921

12th June, 2020
Thane