

10/04/2024

To,

**Department of Corporate Relationship
BSE Ltd.**

Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai – 400 001.
Scrip Code: 539177

The Calcutta Stock Exchange Limited

7, Lyons Range, Murgighata, Dalhousie,
Kolkata, West Bengal - 700 001.

Scrip Code: 011262

Sub: Submission of Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the year ended March, 2024

Dear Sirs / Madam,

Please find the enclosed Certificate as required under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the year ended March, 2024.

Kindly acknowledge the receipt.

Yours faithfully

For **Authum Investment & Infrastructure Limited**

Hitesh Vora

Company Secretary and Compliance Officer

Mem No.: A40193

Encl: As above.

MAYANK ARORA & Co.

COMPANY SECRETARIES

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31st MARCH, 2024.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/ folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **Authum Investment & Infrastructure Limited** ('the Company') maintained and provided electronically by Maheshwari Datamatics Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- a. No request relating to transfer, transmission, sub division, consolidation, renewal, exchange, replacement or endorsement of calls/allotment monies were received
- b. Any other information, if applicable: - NA

For Mayank Arora & Co.
Company Secretaries
(ICSI Unique Code S2014MH267200)

MAYANK ARORA
Digitally signed by
MAYANK ARORA
Date: 2024.04.10
11:58:36 +05'30'

Mayank Arora
(Partner)

C.P. No.: 13609

M. No. F10378

PR No. 679/2020

UDIN: F010378F000073311

Date: 10.04.2024

Place: Mumbai