

CAPITAL AND FINANCIAL SERVICES LTD.



(A NON-BANKING FINANCIAL COMPANY)

Regd. Office & Corporate Office: "Nahar Tower", 375, Industrial Area-A, Ludhiana-141 003 (INDIA)

Phones: 91-161-2600701 to 705, 2606977 to 980 Fax: 91-161-2222942, 2601956

Email: secncfs@owmnahar.com Web Site: www.owmnahar.com CIN No.: L45202PB2006PLC029968

NCFSL/SD/2021-22/

April 16, 2021

Corporate Listing Department	Corporate Relations Department
The National Stock Exchange of India Limited	The BSE Limited
Exchange Plaza, 5th Floor, Plot No. C/1, G-Block	25th Floor, P.J. Tower,
Bandra-Kurla Complex, Bandra (E)	Dalal Street, Mumbai
MUMBAI – 400 051	MUMBAI – 400 001
SYMBOL: NAHARCAP	SCRIP CODE: 532952
STIVIBOL: NAHARCAF	SOMI CODE GOLDOL

SUB: Certificate u/r 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 31.03.2021

Dear Sir,

Pursuant to the Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith certificate issued by M/s. P.S. Bathla & Associates, Practicing Company Secretaries, Ludhiana, for the half year ended 31st March, 2021.

Kindly take the same on your records.

Thanking you,

Yours faithfully,

For NAHAR CAPITAL AND FINANCIAL SERVICES LTD.

(ANJALI MODGIL)
COMPANY SECRETARY

Encl: As above

Mumbai: 414, Raheja Chambers, 213, Nariman Point, Mumbai - 400 021

Phones: 91-22-22835262, 22835362

Fax: 91-22-22872863 Email: mumbai@owmnahar.com Gurgaon: Flat No. 22-B, Sector-18, Gurgaon-120 015 (India)

Ph.: 91-124-2430532 - 2430533

Fax: 91-124-2430536 Email: delhi@owmnahar.com P. S. BATHLA & ASSOCIATES
Company Secretaries
S.C.O. -6, 3rd Floor
Feroze Gandhi Market, Ludhiana-141001
Ph. 0161-4416791

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH, 2021

I have examined all relevant Registers, Records, files and other documents relating to M/s. Nahar Capital and Financial Services Limited, Ludhiana maintained by M/s. Alankit Assignments Limited, New Delhi, Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its Registrar and Share Transfer Agent, I hereby certify that the Company has delivered during the half year ended on 31st March, 2021:

• Share Certificate(s) relating to the transfer of Shares received during the period from 1st October, 2020 to 31st March, 2021 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Date: 16th April, 2021

Place: Ludhiana

UDIN: F004391C000107417

For P. S. Bathla & Associates

S. Bathla)

Proprietor