

# KEN FINANCIAL SERVICES LIMITED

F-3, First Floor, Shah Arcade 1, Shah Arcade SRA CHSL, Rani Sati Marg, Malad (East), Mumbai - 400 097  
Ph No 022-28881720, Email kenfsl@rediffmail.com, Website ken-fin.com  
(CIN- L65990MH1994PLC078898)

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Date 30<sup>th</sup> April, 2019

To,  
BSE Limited  
Department of Corporate Service,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

Ref. Script Code - 530547

Sub Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2019


Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2019

Kindly take the same on your record

Thanking you

Yours faithfully,  
For Ken Financial Services Limited

  
Sumit Gupta  
DIN 06938413  
Director



Encl as above



**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2019**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Ken Financial Services Limited (CIN: L65990MH1994PLC078898)** maintained by Purva Shareregistry (India) Private Limited, Registrar & Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on our examination as well as the information provided by the Company and Registrar & Share Transfer Agent, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that:

- A) The Company and Registrar & Share Transfer Agent of the Company has not received any instrument for transfer of shares during the period from 01<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019.

We are informed that no request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment was received during the relevant period.

- B) The Company has not issued any debentures during the period hence the reporting of the same is not applicable.

For NITESH CHAUDHARY



Date : 30-04-2019

Place : Mumbai

PRACTICING COMPANY SECRETARY

FCS No. - 10010

CP No. - 16275