

June 01, 2021

To
BSE Limited
Department of Corporate Services,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400001.

BSE Scrip Code: 533014

To
National Stock Exchange of India Limited
Listing Department,
Exchange Plaza, Bandra Kurla Complex,
Bandra [E],
Mumbai 400051.

NSE Scrip Code : SICAGEN

Dear Sir/Madam,

Sub: Secretarial Compliance Report for the financial year ended 31st March, 2021

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 along with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, we enclose herewith the Secretarial Compliance Report for the financial year ended 31st March 2021, issued by M/s. KRA & Associates, Practicing Company Secretaries, Chennai.

Kindly take the same on record.

Thanking You,

Yours Sincerely,

For **Sicagen India Limited**



R Srikrishna
Company Secretary & Compliance Officer

Encl: a/a

Sicagen India Ltd.

Registered & Corporate Office :

4th Floor, Spic House, 88, Mount Road, Guindy, Chennai-600 032, INDIA

Tel : +91 44 4075 4075 | Fax: +91 44 4075 4999 | info@sicagen.com

CIN : L74900TN2004PLC053467 | www.sicagen.com

KRA & ASSOCIATES
PRACTICING COMPANY SECRETARIES

PARTNERS

CS R.KANNAN

CS AISHWARYA

SRI SANKARA GURUKRIPA ILLAM

Regd OFF. : No. 6A, 10th Street,

New Colony, Adambakkam,

Chennai - 600 088

E -mail : gkrkgram@yahoo.in

Ph: 044 - 4355 9396

Secretarial compliance report of SICAGEN INDIA LTD

for the year ended March 31, 2021.

We, KRA & ASSOCIATES have examined:

- a) all the documents and records made available to us and explanation provided by **SICAGEN INDIA LIMITED ("the listed entity")**,
- b) the filings / submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document / filing, as may be relevant, which has been relied upon to make this certification.

for the year ended **March 31, 2021** in respect of compliance with the provisions of:

1. The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
2. The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- d) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (SEBI DP Regulations) and circulars / guidelines issued there under; and based on the above examination,

We hereby report that, during the Review Period



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The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below:

S. No	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
1	SEBI Circular SEBI/HO/CFD/CMD1/CIR/P/2020/ 84 dated May 20, 2020	Disclosure on impact of Covid-19.	The company has stated operational disruption in their annual report due to COVID-19, so considering it as the impact there is a requirement to intimate it to stock exchange.

The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder insofar as it appears from our examination of those records.

There were not any actions taken against the listed entity / its promoters / directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars/ guidelines issued thereunder.

The listed entity has taken the following actions to comply with the observations made in previous reports:




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S. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended March 31, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Non-submission of Shareholding pattern under Regulation 31 of SEBI (LODR) Regulations, 2015 within 21 days from the end of quarter 30 June 2019 of previous financial year.	None	The Company has submitted shareholding pattern for the previous year quarter ended 30 June 2019 with reason for delay and paid requisite fine to the stock exchanges.	None

FOR KRA & ASSOCIATES

Practicing Company Secretaries

Place: Chennai

Date: 17.05.2021



R. KANNAN

PARTNER

FCS NO. 6718 / CP NO. 3363

UDIN: F006718C000336949