



SMIFS CAPITAL MARKETS LIMITED

April 17, 2023

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Re: Certificate under Sub-Regulation (9) of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for Year Ended March 31, 2023

We are enclosing the Certificate under sub-regulation (9) of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Year ended March 31, 2023.

Please acknowledge.

Yours faithfully,
For **SMIFS Capital Markets Limited**

(Ayanti Sen)
Company Secretary –cum–Compliance Officer



Encl: a/a

Sudhansu Sekhar Panigrahi

Practicing Company Secretary
Off : 75, Metcalf Street, 2nd Floor
Room No 203, Kolkata - 700 013

Mob: 9674029751
panigrahis7@gmail.com,
sudhansuspanigrahi@yahoo.co.in

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2023

The Board of Directors,
SMIFS CAPITAL MARKETS LTD.,
"Vaibhav" 4F, 4, Lee Road,
Kolkata - 700 020

I have examined all share transfer deeds, Memorandum of Transfers, registers, files and other documents relating to SMIFS CAPITAL MARKETS LIMITED (hereinafter referred to as 'the Company'), "Vaibhav" 4F, 4 Lee Road, Kolkata - 700020 maintained by Maheshwari Datamatics Pvt Ltd, 23, R N Mukherjee Road, 5th Floor, Kolkata - 700 001 pertaining to transfer of equity shares of the Company, for the year ended on 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that in relation to the year ended 31st March, 2023 there were no requests received for transmission, transposition, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies except for duplicate share certificate.

Place: Kolkata

Date: 14.04.2023


Sudhansu Sekhar Panigrahi

ACS- 23187, CP- 19649

UDIN : A023187E000096911

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen
(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

