



The Indian Hume Pipe Co. Ltd.

Registered Office : Construction House, 5, Walchand Hirachand Road, Ballard Estate, Mumbai - 400 001. INDIA
Tel. : +91-22-22618081, +91-22-40748181 • Fax : +91-22-22656863 • E-mail : info@indianhumpipe.com • Visit us at : www.indianhumpipe.com
CIN : L51500MH1926PLC001255

HP/SEC/

25th February, 2021

1. BSE Ltd.
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort,
Mumbai - 400 001

2. National Stock Exchange of India Ltd.
Exchange Plaza, 5th floor,
Plot No. C/1, G Block,
Bandra-Kurla Complex,
Bandra (East),
Mumbai - 400 051

BSE Scrip Code: 504741

Symbol – INDIANHUME; Series EQ

Dear Sirs,

Sub: Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015
Re: Violation of the Company's Code of Conduct

This is to inform you that in terms of SEBI (Prohibition of Insider Trading) Regulations, 2015, the Code of Conduct of The Indian Hume Pipe Company Limited and SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation by the Designated Person along with the action taken by the Company thereon is enclosed as “**Annexure A**” to this letter.

We request you kindly take the above on record.

Thanking you,

Yours faithfully,
For The Indian Hume Pipe Company Limited,



Encl: As above.

S. M. Mandke
Company Secretary
FCS-2723



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ANNEXURE-A

25th February, 2021

Report by The Indian Hume Pipe Company Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/ Fiduciary	The Indian Hume Pipe Co. Ltd.
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Prabhakar S. Raut
	ii. PAN of the DP	AAGPR6636K
	iii. Designation of DP	Executive Assistant
	i. Functional Role of DP	Executive Assistant to Executive Director
	Whether DP is Promoter or belongs to promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not applicable
	ii. PAN of the immediate relative of DP	--
	C. Details of transaction(s)	
	iii. Name of the scrip	The Indian Hume Pipe Co. Ltd.
	iv. No of shares traded and value (Rs.) (Date-wise)	1) 50 Shares purchased on 22-05-2020 for Rs.7066.61 (Trading Window Closure-TWC) 2) 50 Shares sold on 23-06-2020 for Rs.8148.67 (TWC and Contra) and 3) 50 Shares sold on 19-11-2020 for Rs.9034.54 (Contra).
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	



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Sr. No.	Particulars	Details
	iv. Date of intimation of trade(s) by concerned DP/ director/ promoter/ promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	v. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI(PIT) Regulations, 2015	N.A.
4	Details of violations observed under Code of Conduct	Trading window closure & Contra trades
5	Action taken by Listed company/ Intermediary/ Fiduciary	Remitted Rs.4,315/- towards profit and Rs.5000/- towards penalty. Total Rs.9,315/- to SEBI - IPEF Board
6	Reasons recorded in writing for taking action	Inadvertently traded
7	Details of the previous instances of violations, if any, since last financial year	None
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF	
	ii. Details of transfer/payment In case of Online:	
	Particulars	D
	Name of the transferor	Prabhakar S. Raut
	Bank Name, branch and Account number	HDFC Bank Ltd., Rigal Cinema Bldg, Colaba Branch, A/c No.50100148869684, IFSC code: HDFC0000085
	UTR/Transaction reference Number	N055211417230723
	Transaction date	24-02-2021
	Transaction Amount (in Rs.)	Rs.9315/-
	In case of Demand Draft (DD):	
	Particulars	D
	Bank Name and branch	--
	DD Number	--
	DD date	--
	DD amount (in Rs.)	--
9	Any other relevant information	
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[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of
Insider Trading) Regulations, 2015

For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI
(Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/ Fiduciary	The Indian Hume Pipe Co. Ltd.
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Ashok B. Joshi
	ii. PAN of the DP	ABSPJ9721G
	iii. Designation of DP	General Manager-HR
	i. Functional Role of DP	HR head
	Whether DP is Promoter or belongs to promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not applicable
	ii. PAN of the immediate relative of DP	--
	C. Details of transaction(s)	
	iii. Name of the scrip	The Indian Hume Pipe Co. Ltd.
	iv. No of shares traded and value (Rs.) (Date-wise)	900 Shares sold on 31-08-2020 for Rs.1,71,353.79 (loss of Rs.5,973.21) (Contra and TWC)
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	



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Sr. No.	Particulars	Details
	iv. Date of intimation of trade(s) by concerned DP/ director/ promoter/ promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	v. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI(PIT) Regulations, 2015	N.A.
4	Details of violations observed under Code of Conduct	Contra trade & TWC
5	Action taken by Listed company/ Intermediary/ Fiduciary	DP has informed he has not made any profit on sale of 900 shares hence warning given and directed to deposit penalty of Rs.2000/- to SEBI – IPEF Board.
6	Reasons recorded in writing for taking action stated above	Exigency and inadvertently traded
7	Details of the previous instances of violations, if any, since last financial year	None
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF	
	ii. Details of transfer/payment In case of Online:	
	Particulars	D
	Name of the transferor	Mr. Ashok B. Joshi
	Bank Name, branch and Account number	Dombivali Nagarik Sahakari Bank, Main Branch, Dombivali, A/c No.002010100051917
	UTR/Transaction reference Number	DNSBN21056407334
	Transaction date	25-02-2021
	Transaction Amount (in Rs.)	Rs.2000/-
	In case of Demand Draft (DD):	
	Particulars	D
	Bank Name and branch	--
	DD Number	--
	DD date	--
	DD amount (in Rs.)	--
9	Any other relevant information	--



Yours faithfully,
For The Indian Hume Pipe Co. Ltd.

S. M. Mandke
Company Secretary & Compliance Officer
Email-id smm@indianhumpipe.com

Date : 25-02-2021
Place: Mumbai