

**ANNEXURE II:**

**Notes and Action for the Non-Compliances**

**Subject Matter: Fines as per SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020**

The chairman of the company in its board meeting held on 28-06-2023, informed about the non-compliances done by the company with has been intimated by the respected department which is as follows:

Applicable Regulation of SEBI (LODR) Regulations, 2015	Fine prescribed	Fines levied for month/quarter ended	Fine payable by the company (inclusive of GST @ 18 %) as on June 14, 2023			Remarks
			Basic Fine	GST @ 18 %	Total Fine payable	
<b>Regulation 44(3)</b> Non-submission of the voting results within the period provided under this regulation	Rs. 10,000 per instance of non-compliance	For the month of May 2023				
<b>Regulation 29(2)/29(3)</b> Delay in furnishing prior intimation about the meeting of the board of directors	Rs. 10,000 per instance of non-compliance per item	For the month of May 2023  Audited Results	10000	1800	11800	2 days short
<b>Regulation 42(2)/42(3)/42(4)/42(5)</b> Delay in/ non-disclosure of record date/ dividend declaration or non-compliance with ensuring the prescribed time gap between two record dates/ book closure dates	Rs. 10,000 per instance of non-compliance per item	For the month of May 2023				

The chairman for the company took comments and observations by the board of directors on the above mentioned fines imposed-



# AMBO AGRITEC LIMITED

(Formerly known as AMBO Agritec Private Limited)

Regd. Office: 3, Pretoria Street, Chandrakunj Building,  
Kolkata, Pin: 700 071, West Bengal, India.

Phone: +91 33 4602 0333 / 4602 0444

Email: info@amboagritec.com CIN: U15419WB1994PLC064993

## Regulation 44(3):

### As per the regulation:

(3) The listed entity shall submit to the stock exchange, within two working days of conclusion of its General Meeting, details regarding the voting results in the format specified by the Board.

**As per the information the company hadn't done any general meeting after its listing i.e. 02-12-2023**

## Regulation 29(2)/29(3)

The company has remitted the fine amount dated: 22-06-2023, details are as follows:

### Remittance details:

Scrip Code	Regulation & Quarter	Amount paid	TDS deducted, if any	Net Amount paid	GST No. (Mandatory to upload on BSE Listing Centre) (*)	Bank Payment details
543678	Regulation 29(2)/29(3)	11,800.00	1000.00	10,800.00	19AAHCS6453M1ZO	INB/NEFT/AXIC2317291770 88/BS/BSE Limited BSER1284 dated 22.06.2023

## Regulation 42(2)/42(3)/ 42(4)/42(5):

### As per the regulation:

(2) The listed entity shall give notice in advance of atleast seven working days (excluding the date of intimation and the record date) to stock exchange(s) of record date specifying the purpose of the record date.

Provided that in the case of rights issues, the listed entity shall give notice in advance of atleast three working days (excluding the date of intimation and the record date).

(3) The listed entity shall recommend or declare all dividend and/or cash bonuses at least five working days (excluding the date of intimation and the record date) before the record date fixed for the purpose.

(4) The listed entity shall ensure the time gap of at least thirty days between two record dates.

(5) For securities held in physical form, the listed entity may, announce dates of closure of its transfer books in place of record date for complying with requirements as specified in sub-regulations (1) to (4):

Provided that the listed entity shall ensure that there is a time gap of at least thirty days between two dates of closure of its transfer books.

**As per the information the company has not declared any dividend for the F.Y 2022-23.**

The board of the company after observing the fines for the non-compliance was apologetic towards the non-compliance made by the company, as the company was listed in the month of December, 2023, the company did not know much about the rules/regulations/compliances of the listed company, hence this non-compliance was made.



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The board of the company hereby will take active steps so that in future such non-compliances are not made and the board of the company hereby request and plead the respected exchange not to freeze the shareholding of the promoter as well as all other securities held in the demat account of the promoter.

Thanking you,

Yours faithfully,

For, Ambo Agritec Limited

For AMBO AGRITEC LIMITED

  
Umesh Kumar Agarwal  
Managing Director  
(DIN: 00210217)