

LIL:CS:REG 7:2019-20

Date: 22.04.2019

BSE Limited
1st Floor, Rotunda Building
P.J Towers, Dalal Street, Fort
Mumbai - 400 001

The National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai-400051

Company Code: 517206

Company Code: LUMAXIND

Sub. : **Compliance Certificate under Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Sir/Ma'am,

In terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Compliance Certificate duly signed by both the Compliance Officer of the Company and the Authorised Representative of our Registrar & Share Transfer Agent i.e. Karvy Fintech Private Limited.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,

For **LUMAX INDUSTRIES LIMITED**

ANKITA GUPTA
COMPANY SECRETARY
M.NO. A50166

Encl: As above

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, **KarvyFintech Pvt. Ltd (KCPL)** is providing Registry and Share Transfer services to Lumax Industries Limited and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KCPL:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For Karvy Fintech Private Limited


Authorised Signatory

Place : Hyderabad

Date : 4th April, 2019