

# UMA EXPORTS LTD

CIN : L14109WB1988PLC043934

April 18, 2023

Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor,  
Bandra Kurla Complex,  
Mumbai-400051

Listing Department,  
BSE Limited  
P.J. Towers,  
Dalal Street  
Mumbai-400001

Scrip: UMAEXPORTS

Scrip Code: 543513

Dear Sir/Madam,

**Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

With reference to the above subject and pursuant to the Regulation 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a certificate from a practicing company secretary for the year ended March 31, 2023.

We request you to kindly take the above on records.

Thanking you,

Yours faithfully,  
**For Uma Exports Limited**

SRITI SINGH  
ROY

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**Sriti Singh Roy**  
**Company Secretary**  
**ACS 42425**

Encl. as above

Flat No. 16, 1st Floor, Ganga Jamuna Apartment  
28/1, Shakespeare Sarani, Kolkata - 700 017  
West Bengal, India

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P : +91-33-2281 3480  
E : info@umaexports.net.in  
W : www.umaexports.net



**M Shahnawaz & Associates**

Company Secretaries

Address : 1, Abdul Hamid Street, 5th Floor

Room No. 508, Kolkata - 700 069

Ph. : 033 4600 5705, Mob. : 98313 38937 / 83349 88800

E-mail : csmdshah@gmail.com

**Certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023**

We have examined the relevant records of **UMA EXPORTS LIMITED** (the Company), having Registered Office at Ganga Jamuna Apartment, 28/1, Shakespeare Sarani, 1st Floor, Kolkata 700017, maintained by Registrar and Share Transfer Agent (RTA), MAS Services Limited, T-34 IIND Floor Okhla Industrial Area, Phase-II New Delhi 110020, for the period from April 7, 2022 to March 31, 2023, for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company / RTA, We hereby certify that:

- A) No request for registration of transfer of physical shares has been received during the period from April 7, 2022, (being the date of listing on Stock Exchanges) to March 31, 2023.
- B) No request for transmission of physical shares has been received during the period from April 7, 2022, (being the date of listing on Stock Exchanges) to March 31, 2023; and
- C) No request for split or consolidation, renewal, exchange or endorsement of calls/allotment monies has been received during the period from April 7, 2022, (being the date of listing on Stock Exchanges) to March 31, 2023.

Date: April 18, 2023  
Place: Kolkata



For M Shahnawaz & Associates

Company Secretaries

Firm Regn. No.: S2015WB331500

CS Md. Shahnawaz

(Proprietor)

ACS No. 21427

C.P. No: 15076

UDIN: A021427E000125663