

RGF CAPITAL MARKETS LIMITED

Regd. Office: 14, N.S. Road, 2nd Floor, Kolkata – 700001

CIN: L67120WB1983PLC036113

Phone: 033-40055190

Email: rgfcapital@gmail.com, Website: www.rgfcapitalmarkets.com

Date: November 8, 2023

To
Head – Listing Compliance
BSE Limited,
P.J. Tower, Dalal Street,
Fort, Mumbai – 400 001

Dear Sir,

Subject: Announcement under Regulation 30 of SEBI (LODR) Regulations, 2015 Regarding Board comment on the mail received from BSE.

Pursuant to SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 (Listing Regulation) we wish to inform you that in terms of Regulations 30 & 33 and other applicable provisions of Listing Regulation, the Board of Directors of the Company has commented on the mail received from BSE regarding SDD Non-Compliance for the quarter ended June 30, 2023 and March 2023 at its meeting held on (Today) November 08, 2023.

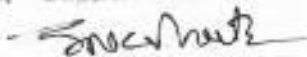
First, we would like to apprise you that the Company did not installed the SDD software within the prescribed time period for which non-compliances have been mentioned above.

Board Comment: The Board has taken note of the SDD Non-Compliance e-mail received from BSE The Board has recommended the Compliance officer to review the SDD software that has been implemented and to consult with a PCS to identify the gaps of Non-Compliance under Regulation 3(5) and/or Regulation 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015. The Board of Directors recommended the Compliance officer to coordinate with the officials of BSE to take necessary steps to resolve the issue of Non-compliance to remove the Company name from the SDD Non-compliance list

Kindly take the same on your record.

For RGF Capital Markets Ltd

RGF Capital Markets Ltd.



Managing Director

Sagar Mal Nahata
Managing Director
DIN: 00307611

COMPLIANCE CERTIFICATE AS ON DATE 8TH NOVEMBER, 2023

[Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015]

I, Puja Pujari, Practising Company Secretary, have checked the records of **RGF Capital Markets Limited** and am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

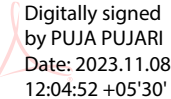
I also confirm that the Company was required to capture **NIL** number of events as on date 8th November, 2023 and has captured **NIL** number of the said required events.

I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL

Date: **08/11/2023**

Place: **Kolkata**

FOR PUJA PUJARI & ASSOCIATES

PUJA
PUJARI 
Digitally signed
by PUJA PUJARI
Date: 2023.11.08
12:04:52 +05'30'

Signature:

PUJA PUJARI

(Proprietor)

UDIN- **A054368E001726885**

Practicing Company Secretary

Membership No- **54368**

C.P. No. **20171**

Peer Review No- **3636**