

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31st DECEMBER 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Veena Vaidya, Compliance Officer of Alicon Castalloy Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
 2. control exists as to who can access the SDD
 3. all the UPSI disseminated in the previous quarter have been captured in the Database
 4. the system has captured nature of UPSI along with date and time
 5. the database has been maintained internally ~~and an audit trail is maintained~~
 6. the database is non-tamperable and ~~has the capability to maintain the records for 8 years.~~
- (Relevant points from 1 to 6 can be strucked off in the case of non-compliance)

I also confirm that the Company was required to capture 1(one) number of events during the quarter ended and has captured 1(one) number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

Sr. No.	Compliance Requirement	Remarks for the quarter ended December 31, 2022	Remedial action
1.	Whether audit trail is maintained?	No	System is being introduced
2.	Whether time stamping is maintained ?	No	System is being introduced

For Alicon Castalloy Limited

VEENA
TUSHAR
VAIDYA

Digitally signed by
VEENA TUSHAR
VAIDYA
Date: 2023.01.21
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Veena Vaidya
Compliance Officer

Date: 21st January 2023

Place: Pune