



# UTTAM SUGAR MILLS LIMITED

Corporate Office : A-2E, III Floor, CMA Tower, Sector-24, NOIDA-201 301 Uttar Pradesh, India  
Telephone : 0120-4525000 E-mail : uttamsugarnoida@gmail.com

April 17, 2023

**National Stock Exchange of India Ltd.  
Listing Department**  
"Exchange Plaza", Bandra-Kurla Complex,  
\* Bandra (E), Mumbai - 400 051

**BSE Limited  
Listing Department**  
P.J. Tower,  
Dalal Street,  
Mumbai - 400 001  
**Ref. - Scrip Code - 532729**

**Ref. :- Symbol - UTTAMSUGAR**

**Sub: Submission of Certificate under Regulation Regulation 40 (10) of SEBI  
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the  
year ended on 31<sup>st</sup> March, 2023**

Dear Sirs,

Pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a Certificate under Regulation 40(9), issued by M/s. N. K. Rastogi & Associates, Company Secretary in Practice, New Delhi, for the year ended 31<sup>st</sup> March, 2023.

We hope you will find the same in order. You are requested to take above information on your records.

Thanking you.

Yours faithfully,  
**For Uttam Sugar Mills Ltd.**

**(Rajesh Garg)**  
**Company Secretary & Compliance Officer**



Encl: As above

✓

# N.K.RASTOGI & ASSOCIATES

Company Secretary in practice

03 SHANKAR VIHAR  
2<sup>ND</sup> FLOOR VIKAS MARG

DELHI -110092

Phone-011 - 45201005

## **CERTIFICATE UNDER REGULATION 40(9) SEBI (LODR) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s Uttam Sugar Mills Limited* maintained by *M/s Link Intime India Private Limited* pertaining to transfer of Equity Shares of the Company for the period from *01<sup>st</sup> April 2022 to 31<sup>st</sup> MARCH 2023* for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 entered into by *M/s Uttam Sugar Mills Limited* with BSE / NSE and based on the information provided by the Company, I hereby certify that the Company has delivered during year ended on **31<sup>ST</sup> MARCH 2023.**

- (A) Shares(s) relating to the transfer of shares received during the period from *01<sup>st</sup> April 2022 to 31<sup>ST</sup> MARCH 2023* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of the calls / allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- (B) Any other information, if applicable : **NIL**

**For N.K. RASTOGI & ASSOCIATES**  
**COMPANY SECRETARIES**

NAVEEN KUMAR RASTOGI  
Digitally signed by NAVEEN  
KUMAR RASTOGI  
Date: 2023.04.17 13:19:57  
+05'30'

**(NAVEEN K. RASTOGI)**

**Proprietor**

**C.P. NO. 3785**

Date : 17.04.2023

Place : Delhi

UDIN : **F003685E000116457**