



NEIL INDUSTRIES LIMITED

CIN : L51109WB1983PLC036091

19th April, 2024

To,

Corporate Relationship Department,

Bombay Stock Exchange Limited

Phiroze Jeejeebhoy Towers

Dalal Street,

Mumbai- 400 001

Scrip Code: 539016(NEIL), ISIN: INE396C01010

Sub: Submission of Compliance Certificate for the year ended on March 31, 2024

Ref.: Provisions of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/ Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a Certificate issued by V. Agnihotri & Associates, Company Secretaries for the year ended on March 31, 2024 in compliance with Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the same in your records. .

Thanking You,

For Neil Industries Limited

Deepan Digitally signed by
Deepanti Verma
ti Verma Date: 2024.04.19
17:08:36 +05'30'

Deepanti Verma

(Company Secretary and Compliance Officer)

Membership No. ACS-50852

Encl: As above

R/o : 88 B, (Ground Floor), Lake View Road, Kolkata-700029, Ph.: 033-40088545
Corp. Off.: 14/113, Civil Lines, 402-403, Kan Chambers, Kanpur-208001, M.: 8953338815
E-mail : neilindustrieslimted@gmail.com, neilil@rediffmail.com · Web : www.neil.co.in



CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED ON 31/03/2024

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019. Further, SEBI vide its circular dated September 07, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no' SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.



Accordingly on the basis of above, we have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **NEIL INDUSTRIES LTD** maintained by **SKYLINE FINANCIAL SERVICES PRIVATE LIMITED** pertaining to transfer of equity shares of the company for the period from **1st April, 2023** to **31st March, 2024** for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (LODR) Regulations, 2015. Based on the information provided by the Company, we hereby certify that the Company has delivered during the year ended on **March 31st 2024**;

- A)** Share Certificate(s) relating to the transfer of Shares received during the period from **1st April, 2023** to **31st March, 2024** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds. **-NOT APPLICABLE**
- B)** During the Period under review, no request for REMAT was received by the Company.
- C)** The Company has not issued any debentures; hence the report is not applicable.

For V. Agnihotri & Associates

Company Secretaries


Vaibhav Agnihotri

(Proprietor)

Mem No. 10363

C. P. No.: 21596

UDIN: F010363F000187705

Place: Kanpur

Dated: 19/04/2024

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Add: 401, Kan Chambers, 14/113, Civil Lines, Kanpur-208001

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