

29th May, 2019

UAS/MSA/93

Bombay Stock Exchange Ltd.,
25th Floor, P.J. Towers,
Dalal Street,
Mumbai - 400 001

Dear Sirs,

Sub: Compliance under Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Ref: Company Code No.504605.

In terms of Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose Secretarial Compliance Report dated 28th May, 2019, duly issued by Kaushik M. Jhaveri & Co., Practising Company Secretary, for the financial year ended March 31, 2019.

Kindly take the same on records.

Thanking you,

Yours faithfully,
For Uni-Abex Alloy Products Ltd.



M.S. Ashar
Company Secretary &
Compliance Officer

Encl: as above

A Neterwala Group Company

Factory: Plot No: 583 & 584 - A, Bair Industrial Area, Dharwad, Karnataka - 580 011, INDIA. Tel: +91 836 2971320 | +91 836 2971321
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Marketing office: 104, Dhanalaxmi Shopping Complex, Rutu Estate, Patlipada, Off G. B. Roac, Thane - 400607, Maharashtra, INDIA.
Tel.: +91 22 41007760 | 25862055 | 25862060
CIN: L27100MH1972PLC015950 | Email: uniabex@vsnl.com | Website: www.uniabex.com



**SECRETARIAL COMPLIANCE REPORT OF UNI-ABEX ALLOY PRODUCTS LIMITED
FOR THE YEAR ENDED MARCH 31, 2019**

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,
The Board of Directors,
Uni-Abex Alloy Products Limited
Liberty Building, Sir Vithaldas Thackersey Marg,
Mumbai – 400 020.

I, **Kaushik M. Jhaveri**, have examined:

- (a) all the documents and records made available to us and explanation provided by Uni-Abex Alloy Products Limited(CIN: L27100MH1972PLC015950) (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2019 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;



- (b) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992;
- (d) The Securities and Exchange Board of India (Registrars to an issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- (e) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

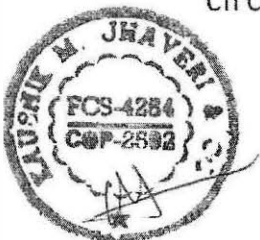
and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars /guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
NIL			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



Sr. No.	Action taken by	Detail of violation	Details of action taken e.g. fine, warning letter, debarment, etc.	Observations/Remarks of the Practising Company Secretary, if any
NIL				

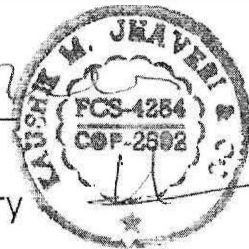
(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations/Remarks of the Practising Company Secretary, in previous reports	Observations made in the Secretarial Compliance Report for the year ended	Action taken by the listed entity, if any.	Comments of the Practising Company Secretary on the actions taken by the listed entity
NA				

For Kaushik M. Jhaveri & Co.,

Kaushik M. Jhaveri

Kaushik M. Jhaveri
Practising Company Secretary
FCS No.: 4254
CP No. : 2592



Date: 28th May, 2019

Place: Mumbai