



April 29, 2023

BSE Limited
Scrip Code: 500440

**National Stock Exchange of India
Limited**
Scrip Code: HINDALCO

**Banque Internationale A Luxembourg
Societe Anonyme**

Sub:

- a. Compliance Certificate obtained by Hindalco Industries Limited (*'the Company'*) from Practising Company Secretary for the year ended March 31, 2023

Ref:

- a. Regulation 40(9) read with Regulation 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (*'SEBI Listing Regulations'*)
- b. ISIN: INE038A01020

Pursuant to Regulation 40(10) of SEBI Listing Regulations, the Company has obtained a Compliance Certificate from M/s Dilip Bharadiya & Associates, Practising Company Secretaries, for the year ended March 31, 2023 and the same is enclosed herewith.

The above is for your information and record.

Sincerely,

for **Hindalco Industries Limited**

Geetika Anand
Company Secretary & Compliance Officer

Encl.: a/a

Hindalco Industries Limited

6th & 7th Floor, Birla Centurion, Pandurang Budhkar Marg, Worli, Mumbai – 400030, India
T: +91 22 66626666/62610555 | F: +91 22 62610400/62610500 | W: www.hindalco.com
Registered Office : Ahura Centre, 1st Floor, B wing, Mahakali Caves Road, Andheri (East), Mumbai – 400093, India

Corporate ID No: L27020MH1958PLC011238

Dilip Bharadiya

B.Com., A.C.A., F.C.S.

Shivangini Gohel

B.Com., A.C.S., LLB, PGDSLCL

DILIP BHARADIYA & ASSOCIATES

COMPANY SECRETARIES

Phone : 91 - 22 - 2888 3756

Mob. : 91 - 98202 90360

: 91 - 98334 46652

Email : dilipbcs@gmail.com

: dilip@csdilip.com

To,
The Board of Directors,
HINDALCO INDUSTRIES LIMITED
Ahura Centre, 1st Floor, B Wing
Mahakali Caves Road,
Mumbai 400093

Sub.: Compliance certificate by Practicing Company Secretary for the year ended March 31, 2023, in terms of the provisions of Regulation 40(9), 40(10) and 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015 ("SEBI Listing Regulations")

We have examined the Registers, Records, Books, Papers and all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **HINDALCO INDUSTRIES LIMITED** ("Company") maintained **In-House** at Ahura Centre, B Wing, 1st Floor, Mahakali Caves Road, Andheri East, Mumbai 400 093 pertaining to transfer, sub-division, consolidation, renewal, exchange, endorsement of calls/allotment monies, deletion, transmission, transposition of Equity shares of the Company for the year ended on March 31, 2023, for the purpose of issuing a Certificate as per Regulation 40(9) & 40(10) of the SEBI Listing Regulations.

Based on the information provided by the Company, we hereby certify that during year ended on **March 31, 2023**, the Company has not received any request for transfer of shares in accordance with the SEBI Listing Regulations.

Further, based on the information provided by the Company, we hereby certify that during year ended on **March 31, 2023** the Company has issued Share Certificates and Letter of Confirmations:

- A) relating to the sub-division, consolidation, renewal, exchange, endorsement of calls/allotment monies, deletion, transposition of Equity Shares of the Company received during the period from **April 01, 2022 to March 31, 2023**, as entered in the Memorandum of Transfers, within the permissible extended timeline of SEBI as specified in SEBI Circulars SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/26 dated February 25, 2022 read with SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 & SEBI/HO/MIRSD/RTAMB/CIR/P/2020/59 dated April 13, 2020, except those rejected on technical grounds and;



Contd . . 2

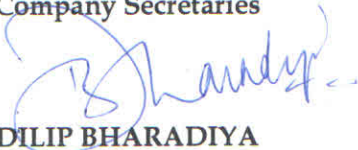
::2::

- B) relating to transmission received during the period from April 01, 2022 to March 31, 2023, as entered in the Memorandum of Transfers, within the permissible extended timeline of SEBI as specified in SEBI Circulars SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/26 dated February 25, 2022 read with SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 & SEBI/HO/MIRSD/RTAMB/CIR/P/2020/59 dated April 13, 2020 except those rejected on technical grounds.

Based on the information or details provided by Company and confirmation by In-house share transfer department, for debentures we hereby certify under Regulations 61(4) of the SEBI Listing Regulations that during the Financial year ended on March 31, 2023:

- A) All the Unsecured Redeemable Non-Convertible Debentures ('NCDs') issued by the Company are in Dematerialised Form and no Certificate of NCDs was issued in the physical mode by the Company.
- B) No request was received for issuance of debenture certificate, transfer, sub-division, consolidation, renewal, exchange or endorsement of call/allotment monies.

For DILIP BHARADIYA & ASSOCIATES
Company Secretaries



DILIP BHARADIYA
Partner
FCS No. 7956, C.P. No. 6740
UDIN: F007956E000222489



Place: Mumbai
Date: April 28, 2023