

DEPT : SECRETARIAL
REF. No. : SEC/ST.EX.STT/ 63/2020-21

September 9, 2020

National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051. SCRIP CODE: SOUTHBANK	BSE Ltd. Department of Corporate Services (Listing), First Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort, Mumbai – 400 001. SCRIP CODE: 532218
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Dear Sirs,

Sub: Intimation as per Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

We are submitting herewith the reports on intimation and action taken by the Bank in Annexure A for violation of code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

Please take on record the intimations annexed to this letter pursuant to Schedule B read with Regulation 9 (1) of Regulations of SEBI (Prohibition of Insider Trading) Regulations, 2015 and other applicable circulars / guidelines issued by SEBI in this regard.

The aforesaid information is also being hosted on the website of the Bank www.southindianbank.com.

Yours faithfully,


(JIMMY MATHEW)
COMPANY SECRETARY

Encl: as above

**Report by
The South Indian Bank Ltd
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015.**

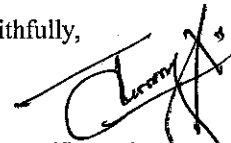
[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr No	Particulars	Details				
1	Name of the listed company/ Intermediary/ Fiduciary	The South Indian Bank Ltd				
2	Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company ✓ <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company				
3	A. Details of Designated Person (DP)					
	i. Name of the DP	Mr. Balaji R				
	ii. PAN of the DP	AEAPB7962G				
	iii. Designation of DP	Chief Manager				
	iv. Functional Role of DP	CFM- Treasury Back Office				
	v. Whether DP is Promoter or belongs to Promoter Group	No				
	B. If Reporting is for immediate relative of DP					
	i. Name of the immediate relative of DP	NA				
	ii. PAN of the immediate relative of DP	NA				
	C. Details of transaction(s)					
	i. Name of the scrip	The South Indian Bank Limited (NSE: SOUTHBANK/ BSE: 532218)				
	ii. No of shares traded and value (Rs.) (Date-wise)	Nature	Date	Quantity	Rate	Transaction value
		Purchase	07.04.2020	100	5.87	587
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter					
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA				
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA				



4	Details of violations observed under Code of Conduct	Dealing in security during window closure period												
5	Action taken by Listed company/ Intermediary/ Fiduciary	The Board / Audit Committee has directed to collect the penalty and remit the same to IPEF account in addition to warn the DP taking a stringent view of the matter.												
6	Reasons recorded in writing for taking action stated above	Even though this was the first instance of violation by DP and the traded quantity was less, in order to pass a very strong message to all Designated persons, to up-keep the compliance culture of the Bank and also to avoid the recurrence, a penalty is levied for the violation.												
7	Details of the previous instances of violations, if any, since last financial year	Nil												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI – IPEF (Online / Demand Draft)	Online												
	ii. Details of transfer/payment													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>The South Indian Bank Ltd</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>The South Indian Bank Ltd, Mission Quarters Branch, Thrissur 0299073000000700</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>SIBLN20252005077</td> </tr> <tr> <td>Transaction date</td> <td>08th September, 2020</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>2,758.00</td> </tr> </tbody> </table>		Particulars	Details	Name of the transferor	The South Indian Bank Ltd	Bank Name, branch and Account number	The South Indian Bank Ltd, Mission Quarters Branch, Thrissur 0299073000000700	UTR/Transaction reference Number	SIBLN20252005077	Transaction date	08 th September, 2020	Transaction Amount (in Rs.)	2,758.00
Particulars	Details													
Name of the transferor	The South Indian Bank Ltd													
Bank Name, branch and Account number	The South Indian Bank Ltd, Mission Quarters Branch, Thrissur 0299073000000700													
UTR/Transaction reference Number	SIBLN20252005077													
Transaction date	08 th September, 2020													
Transaction Amount (in Rs.)	2,758.00													
9	Any other relevant information	The amount has been transferred to SEBI – IPEF account maintained at Bank of India, Bandra Kurla Complex (BKC), (Account Number: 012210210000008, IFSC Code: BKID0000122) on 8 th September, 2020 in compliance with in SEBI circular No SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.												

Yours faithfully,



Name and signature of Compliance Officer: Jimmy Mathew,
DGM & CS
PAN: AOMPM8539G
Email id: jimmyathew@sib.co.in

Date: 09-09-2020
Place: Thrissur



**Report by
The South Indian Bank Ltd
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015.**

[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

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2	Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company ✓ <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company				
3	A. Details of Designated Person (DP)					
	i. Name of the DP	Mr. Jeevan Das.N.B.				
	ii. PAN of the DP	AAFPJ8489D				
	iii. Designation of DP	Deputy General Manager				
	iv. Functional Role of DP	Head RBCPC				
	v. Whether DP is Promoter or belongs to Promoter Group	No				
	B. If Reporting is for immediate relative of DP					
	i. Name of the immediate relative of DP	NA				
	ii. PAN of the immediate relative of DP	NA				
	C. Details of transaction(s)					
	i. Name of the scrip	The South Indian Bank Limited (NSE: SOUTHBANK/ BSE: 532218)				
	ii. No of shares traded and value (Rs.) (Date-wise)	Nature	Date	Quantity	Rate	Transaction value
		Purchase	27.05.2020	500	4.94	2,470
		Purchase	28.05.2020	500	5.04	2,520
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter					
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA				
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA				



4	Details of violations observed under Code of Conduct	Dealing in security during window closure period												
5	Action taken by Listed company/ Intermediary/ Fiduciary	The Board / Audit Committee has directed to collect the penalty and remit the same to IPEF account in addition to warn the DP taking a stringent view of the matter.												
6	Reasons recorded in writing for taking action stated above	Even though this was the first instance of violation by DP, and the traded quantity was less, in order to pass a very strong message to all Designated persons, to up-keep the compliance culture of the Bank and also to avoid the recurrence, a penalty is levied for the violation.												
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Particulars	Details													
Name of the transferor	The South Indian Bank Ltd													
Bank Name, branch and Account number	The South Indian Bank Ltd, Mission Quarters Branch, Thrissur 029907300000700													
UTR/Transaction reference Number	SIBLN20252004522													
Transaction date	08 th September, 2020													
Transaction Amount (in Rs.)	8,460.00													
9	Any other relevant information	The amount has been transferred to SEBI – IPEF account maintained at Bank of India, Bandra Kurla Complex (BKC), (Account Number: 012210210000008, IFSC Code: BKID0000122) on 8 th September, 2020 in compliance with in SEBI circular No SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.												

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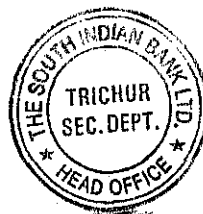
Name and signature of Compliance Officer: Jimmy Mathew,
DGM & CS

PAN: AOMPM8539G

Email id: jimmyathew@sib.co.in

Date: 09-09-2020

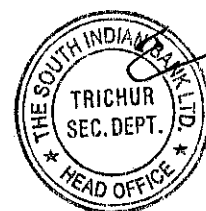
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3	A. Details of Designated Person (DP)																					
	i. Name of the DP	Mr. Jojo Antony																				
	ii. PAN of the DP	ACDPA6765D																				
	iii. Designation of DP	Deputy General Manager																				
	iv. Functional Role of DP	Regional Head, Kannur Regional Office																				
	v. Whether DP is Promoter or belongs to Promoter Group	No																				
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	ii. No of shares traded and value (Rs.) (Date-wise)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Nature</th> <th>Date</th> <th>Quantity</th> <th>Rate</th> <th>Transaction value</th> </tr> </thead> <tbody> <tr> <td>Sale</td> <td>05.06.2020</td> <td>10,000</td> <td>7.10</td> <td>71,000</td> </tr> <tr> <td>Sale</td> <td>25.06.2020</td> <td>5000</td> <td>9.05</td> <td>45,250</td> </tr> <tr> <td>Purchase</td> <td>03.07.2020</td> <td>4000</td> <td>7.90</td> <td>31,600</td> </tr> </tbody> </table>	Nature	Date	Quantity	Rate	Transaction value	Sale	05.06.2020	10,000	7.10	71,000	Sale	25.06.2020	5000	9.05	45,250	Purchase	03.07.2020	4000	7.90	31,600
Nature	Date	Quantity	Rate	Transaction value																		
Sale	05.06.2020	10,000	7.10	71,000																		
Sale	25.06.2020	5000	9.05	45,250																		
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4	Details of violations observed under Code of Conduct	Dealing in security during window closure period												
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Particulars	Details													
Name of the transferor	The South Indian Bank Ltd													
Bank Name, branch and Account number	The South Indian Bank Ltd, Mission Quarters Branch, Thrissur 0299073000000700													
UTR/Transaction reference Number	SIBLN20252002356													
Transaction date	08 th September, 2020													
Transaction Amount (in Rs.)	14,893.00													
9	Any other relevant information	The amount has been transferred to SEBI – IPEF account maintained at Bank of India, Bandra Kurla Complex (BKC), (Account Number: 012210210000008, IFSC Code: BKID0000122) on 8 th September, 2020 in compliance with in SEBI circular No SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.												

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