

## DEPT : SECRETARIAL REF. No.: SEC/ST.EX.STT/ 63/2020-21

September 9, 2020

National Stock Exchange of India Ltd.,	BSE Ltd.
Exchange Plaza, 5th Floor,	Department of Corporate Services (Listing),
Plot No.C/1, G Block,	First Floor, New Trading Wing,
Bandra-Kurla Complex, Bandra (E),	Rotunda Building, P J Towers,
Mumbai – 400 051.	Dalal Street, Fort, Mumbai – 400 001.
SCRIP CODE: SOUTHBANK	SCRIP CODE: 532218

Dear Sirs,

## Sub: Intimation as per Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

We are submitting herewith the reports on intimation and action taken by the Bank in Annexure A for violation of code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

Please take on record the intimations annexed to this letter pursuant to Schedule B read with Regulation 9 (1) of Regulations of SEBI (Prohibition of Insider Trading) Regulations, 2015 and other applicable circulars / guidelines issued by SEBI in this regard.

The aforesaid information is also being hosted on the website of the Bank www.southindianbank.com.

Yours faithfully (JIMMY MATHEW)

COMPANY SECRETARY

Encl: as above

The South Indian Bank Ltd., Regd. Office: Thrissur, Kerala

Head Office: S.I.B. House, T.B. Road, P.B. No: 28, Thrissur - 680 001, Kerala. (Tel) 0487-2420 020, (Fax) 91 487-244 2021 e-mail: sibcorporate@sib.co.in, CIN: L65191KL 1929PLC001017, Toll Free (India) 1800-102-9408, 1800-425-1809 (BSNL)

Annexure A

## Report by The South Indian Bank Ltd for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015. [For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

ir Io	Particulars			Details			
1	Name of the listed company/ Intermediary/ Fiduciary	The South Indian Bank Ltd			<u> </u>		
2	Please tick appropriate checkbox Reporting in capacity of:	Listed Company					
	<ul> <li>□ Listed Company ✓</li> <li>□ Intermediary</li> <li>□ Fiduciary</li> </ul>		· · · ·				
3	A. Details of Designated Person (DP)	L		· · · ·			
	i. Name of the DP	Mr. Balaji I	ર				
	ii. PAN of the DP	AEAPB7962G					
	iii. Designation of DP	Chief Manager					
	iv. Functional Role of DP	CFM- Treas	sury Back Off	ice			
	v. Whether DP is Promoter or belongs to Promoter Group	No					
	B. If Reporting is for immediate relative of DP						
	i. Name of the immediate relative of DP	NA					
	ii. PAN of the immediate relative of DP	NA					
	C. Details of transaction(s)	·					
	i. Name of the scrip	The South Indian Bank Limited (NSE: SOUTHBANK/ BSE: 532218)					
	ii. No of shares traded and value (Rs.) (Date- wise)	Nature	Date	Quantity	Rate	Transaction value	
		Purchase	07.04.2020	100	5.87	58	
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter						
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA					
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA	· · · ·				



4	Details of violations observed und Conduct	er Code of	Dealing in security during window closure period		
5	Fiduciary		The Board / Audit Committee has directed to collect the penalty and remit the same to IPEF account in addition to warn the DP taking a stringent view of the matter.		
6	<ul> <li>Reasons recorded in writing for taking action stated above</li> </ul>		Even though this was the first instance of violation be and the traded quantity was less, in order to pass a strong message to all Designated persons, to up-kee compliance culture of the Bank and also to avoir recurrence, a penalty is levied for the violation.	very p the	
7	Details of the previous instances of	violations, if	Nil		
8	any, since last financial year If any amount collected for Code of 0	Conduct viola	cion(s)		
	i. Mode of transfer to SEBI – IPEF (Online / Demand Draft)		Online		
	ii. Details of transfer/payment				
	Particulars	Details			
	Name of the transferor	The South Indian Bank Ltd			
	Bank Name, branch and Account number	The South Indian Bank Ltd, Mission Quarters Branch, Thrissur 0299073000000700			
	UTR/Transaction reference Number	SIBLN20252005077			
	Transaction date	08 <sup>th</sup> Septem	ber, 2020		
	Transaction Amount (in Rs.)	2,758.00			
				· .	
9	Any other relevant information		The amount has been transferred to SEBI – IPEF ac maintained at Bank of India, Bandra Kurla Con (BKC), (Account Number: 012210210000008, Code: BKID0000122) on 8 <sup>th</sup> September, 202 compliance with in SEBI circular SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 20	nplex IFSC 0 in No	

Yours faithfully,

Date: 09-09-2020 Place: Thrissur



Name and signature of Compliance Officer: Jimmy Mathew, DGM & CS PAN: AOMPM8539G Email id: jimmymathew@sib.co.in

Annexure A

Report by The South Indian Bank Ltd

for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)

Regulations, 2015.

[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr	Particulars	[		Details			٦	
No								
• 1	Name of the listed company/ Intermediary/ Fiduciary	The South Indian Bank Ltd						
2	Please tick appropriate checkbox Reporting in capacity of: □ Listed Company ✓	Listed Company						
	<ul> <li>Disted company</li> <li>Intermediary</li> <li>Fiduciary</li> </ul>							
3	A. Details of Designated Person (DP)							
	i. Name of the DP	Mr. Jeevan	Das.N.B.				٦	
	ii. PAN of the DP	AAFPJ8489D						
	iii. Designation of DP	Deputy General Manager						
	iv. Functional Role of DP	Head RBCPC						
	v. Whether DP is Promoter or belongs to No Promoter Group							
{	B. If Reporting is for immediate relative of DP							
	i. Name of the immediate relative of DP NA							
·	ii. PAN of the immediate relative of DP							
	C. Details of transaction(s)							
	i. Name of the scrip	(NSE: SOU	ndian Bank L THBANK/ B	SE: 532218	3: 532218)			
	ii. No of shares traded and value (Rs.) (Date- wise)	Nature	Date	Quantity	Rate	Transaction value		
		Purchase	27.05,2020	500	4.94	2,470	İ I	
( (		Purchase	28.05.2020	500	5.04	2,520	4	
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter							
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT)	NA				-		
	Regulations, 2015 ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA						



4	Details of violations observed und Conduct	ler Code of	Dealing in security during window closure period			
5	Action taken by Listed company/ Intermediary/ Fiduciary		The Board / Audit Committee has directed to collect the penalty and remit the same to IPEF account in addition to warn the DP taking a stringent view of the matter.			
6	6 Reasons recorded in writing for taking action stated above		Even though this was the first instance of violation by DP, and the traded quantity was less, in order to pass a very strong message to all Designated persons, to up-keep the compliance culture of the Bank and also to avoid the recurrence, a penalty is levied for the violation.			
7	Details of the previous instances of any, since last financial year		Nil			
8	If any amount collected for Code of	Conduct viola	tion(s)			
·.	i. Mode of transfer to SEBI – IPEF (Online / Demand Draft)	<u></u> .	Online			
	ii. Details of transfer/payment	****				
	Particulars Details					
	Name of the transferor	The South I	ndian Bank Ltd			
	Bank Name, branch and		ndian Bank Ltd,			
	Account number	Mission Qu   0299073000	arters Branch, Thrissur 0000700			
	UTR/Transaction reference Number	SIBLN20252004522				
	Transaction date	08 <sup>th</sup> Septem	ber, 2020			
	Transaction Amount (in Rs.)	8,460.00				
		•				
9	Any other relevant information		The amount has been transferred to SEBI – IPEF account maintained at Bank of India, Bandra Kurla Complex (BKC), (Account Number: 012210210000008, IFSC Code: BKID0000122) on 8 <sup>th</sup> September, 2020 in compliance with in SEBI circular No SEBI/HO/ISD/CIR/P/2020/135 dated July 23, 2020.			

Yours faithfully,

Name and signature of Compliance Officer: Jimmy Mathew, DGM & CS PAN: AOMPM8539G Email id: jimmymathew@sib.co.in



Date: 09-09-2020 Place: Thrissur

Report by The South Indian Bank Ltd for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015. [For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr No	Particulars	Details				
1	Name of the listed company/ Intermediary/ Fiduciary	The South Indian Bank Ltd				
2	Please tick appropriate checkbox Reporting in capacity of: ☐ Listed Company ✓ ☐ Intermediary ☐ Fiduciary	Listed Com	ipany			
3	A. Details of Designated Person (DP)	• · · · · · · · · · · · · · · · · · · ·	<u></u>			
	i. Name of the DP	Mr. Jojo Ar	ntony			
	ii. PAN of the DP	ACDPA670	65D			
	iii. Designation of DP	Deputy Ger	neral Manager			
	iv. Functional Role of DP	Regional H	ead, Kannur F	Regional Of	fice	
	v. Whether DP is Promoter or belongs to No Promoter Group					
	B. If Reporting is for immediate relative of DP					
	i. Name of the immediate relative of DP	NA				
	ii. PAN of the immediate relative of DP	NA		· · ·		
* 	C. Details of transaction(s)		·			-
	i. Name of the scrip	The South Indian Bank Limited (NSE: SOUTHBANK/ BSE: 532218)				
	ii. No of shares traded and value (Rs.) (Date- wise)	Nature	Date	Quantity	Rate	Transaction
					·	value
ļ		Sale Sale	05.06.2020	10,000	7.10	71,000
		Purchase	25.06.2020	<u> </u>	9.05 7.90	45,250 31,600
	D. In case value of trade(s) is more than Rs.10 la			4000	1.90	51,000
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA				
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI	NA				

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	(PIT) Regulations, 2015				
• 4	Details of violations observed und Conduct	ler Code of	Dealing in security during window closure period		
5	5 Action taken by Listed company/ Intermediary/ Fiduciary		The Board / Audit Committee has directed to colle penalty and remit the same to IPEF account in addi warn the DP taking a stringent view of the matter.		
6	5 Reasons recorded in writing for taking action stated above		Even though this was the first instance of violation and the traded quantity was less, in order to pass strong message to all Designated persons, to up-kee compliance culture of the Bank and also to avo recurrence, a penalty is levied for the violation.	a very ep the	
7	Details of the previous instances of any, since last financial year		Nil		
8	If any amount collected for Code of	onduct Viola			
	i. Mode of transfer to SEBI – IPEF (Online / Demand Draft)		Online		
	ii. Details of transfer/payment				
	Particulars	Details		] [	
	Name of the transferor	The South I	ndian Bank Ltd		
	Bank Name, branch and		ndian Bank Ltd,	1	
	Account number	Mission Quarters Branch, Thrissur 0299073000000700			
	UTR/Transaction reference Number	SIBLN20252002356			
	Transaction date	08 <sup>th</sup> September, 2020			
	Transaction Amount (in Rs.)	14,893.00			
9	Any other relevant information		The amount has been transferred to SEBI – IPEF ac maintained at Bank of India, Bandra Kurla Cor (BKC), (Account Number: 012210210000008, Code: BKID0000122) on 8 <sup>th</sup> September, 2020 compliance with in SEBI circular SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 20	mplex IFSC in No	

Yours faithfully,

Name and signature of Compliance Officer: Jimmy Mathew, DGM & CS PAN: AOMPM8539G Email id: jimmymathew@sib.co.in

Date: 09-09-2020 Place: Thrissur

