



October 19, 2020

<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. BSE Scrip Code: 532636</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. NSE Symbol: IIFL</b>
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Dear Sir(s)/Madam(s),

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.**

Please find enclosed herewith Certificates under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.

Kindly take the same on record and oblige.

Thanking you,

Yours faithfully,

**For IIFL Finance Limited  
(Formerly known as IIFL Holdings Limited)**

**Jayesh Sharma  
Company Secretary  
Place: Mumbai**

Encl: as above

**IIFL Finance Limited (formerly known as IIFL Holdings Limited)**

**CIN No.: L67100MH1995PLC093797**

Corporate Office – 802, 8<sup>th</sup> Floor, Hub Town Solaris, N.S. Phadke Marg, Vijay Nagar, Andheri East, Mumbai 400069

Tel: (91-22) 6788 1000 .Fax: (91-22) 6788 1010

Regd. Office – IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, Thane Industrial Area, Wagle Estate, Thane – 400604 Tel: (91-22)

25806650. Fax: (91-22) 25806654 E-mail: csteam@iifl.com Website: www.iifl.com

Ref \_\_\_\_\_

**UDIN: F004554B000999561**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30.09.2020**

I have examined all ~~Share Transfer Deeds~~, Memorandum of Transfers Registers, files and other documents relating to **IIFL FINANCE LIMITED** (Formerly known as IIFL HOLDINGS LIMITED) maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to IIFL Finance Limited (Formerly known as IIFL HOLDINGS LIMITED) and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transfer, Transmission, Transposition, Name Deletion, Sub-division, Consolidation, Replacement / Exchange of Certificate, Endorsement for calls/allotment Money during half year ended on 30<sup>th</sup> September, 2020.

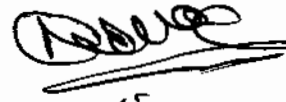
**Note:** Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date:

19/10/2020

Place: Mumbai

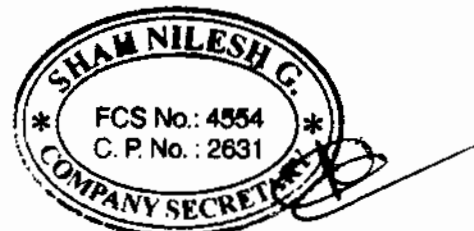
Signature: \_\_\_\_\_



**NILESH G. SHAH.**

**Company Secretary**

**C. P. No: 2631**



Ref: \_\_\_\_\_

**UDIN: F004554B000999658**

**CERTIFICATE UNDER REGULATION 61(4) READ WITH 40(9) OF THE SEBI  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30.09.2020**

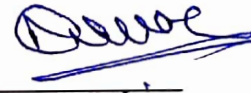
Based on the Information / details provided to us by **IIFL FINANCE LIMITED** (herein after will be referred to as "the Company") for the purpose of issuing a Certificate under Regulation 61(4) read with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for physical transfer of ~~Secured Redeemable~~ Non Convertible Debentures of the company, we hereby certify that since all the Debentures issued by the Company are in Demat form, the Company has not received any request for transfer / transmission / transposition / post deletion / sub-division, consolidation, exchange, renewal or endorsement of calls/allotment monies during half year ended on 30th September, 2020.

**Note:** Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date: 09/10/2020

Place: Mumbai

Signature: \_\_\_\_\_



**NILESH G. SHAH.**  
**Company Secretary**  
**C. P. No: 2631**

