



**RPG LIFE SCIENCES LIMITED**  
Unit No. 208-213, B Wing,  
Bezzola Complex,  
Sion-Trombay Road, Chembur,  
Mumbai 400071, India  
Tel: +91-22-25292152-55  
Fax: +91-22-25297423

October 19, 2020

To

The Manager  
Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor,  
Plot No. C-1, G- Block,  
Bandra - Kurla Complex, Bandra (East)  
Mumbai – 400 051.

BSE Limited  
Corporate Relationship Department  
25, P.J. Towers,  
Dalal Street,  
Mumbai 400 001.

Symbol: RPGLIFE

Scrip Code: 532983

Dear Sirs,

**Sub: Compliance Certificate under Regulation 40(9)**

We enclose herewith certificate issued by Practicing Company Secretary, pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2020.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
For RPG Life Sciences Limited

Rajesh Shirambekar  
Head – Legal & Company Secretary



Encl.: as above

VIJAY KUMAR MISHRA

B. Com (Hons), A C A . F.C.S

PARESH D PANDYA

B. Com., A.C.S.

**VKM & ASSOCIATES**

**PRACTISING COMPANY SECRETARIES**

116, Trinity Building, 1st Floor, 227, Dr. C. H. Street, Behind

Parsi Dairy, Marine Lines (E), Mumbai - 2. Tel. : 2207 7267

Fax : 2207 7542 Mob.: 93229 77388

E-mail: vkmassociales@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and its press release on 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 1<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019.

Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to RPG LIFE SCIENCES LIMITED (the Company) maintained by Link Intime India Private Limited, Registrar and Share Transfer Agents pertaining to the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:



A) 1.a. as informed to us, there were no requests relating to transfers received during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.

1.b. The Company has delivered during half year ended on 30<sup>th</sup> September, 2020 share certificates in respect of requests relating to transmission of securities/ deletion of name within the time permitted by SEBI vide circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020, received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020. As informed to us, there were no requests relating to transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: ---NIL

For VKM & ASSOCIATES  
Company Secretaries



(Vijay Kumar Mishra)  
Partner  
C.P.No.4279

**UDIN No. : F005023B000991461**

**Place : Mumbai**

**Date : 19/10/2020**