

**Ref No: AAVAS/SEC/2020-21/283**

**Date: August 31, 2020**

<b>To, The National Stock Exchange of India Limited The Listing Department Exchange Plaza, Bandra Kurla Complex, Mumbai - 400051</b>	<b>To, BSE Limited Dept. of Corporate Services Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400001</b>
<b>Scrip Symbol: AAVAS</b>	<b>Scrip Code: 541988</b>

Dear Sir/Madam,

**Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)**

**Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 Circular dated July 23, 2020**

With reference to the above, please find enclosed herewith report on violation under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated person.

You are requested to take the same on records.

Thanks & Regards,

**For Aavas Financiers Limited**



**Sharad Pathak**  
**Company Secretary & Compliance Officer**  
**(FCS-9587)**

**Encl: a/a**

**Report by Aavas Financiers Limited for violations related to Code of Conduct under SEBI  
(Prohibition of Insider Trading) Regulations, 2015**

**Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations,  
2015**

Sr No	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Aavas Financiers Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3	<p><b>A. Details of Designated Person (DP)</b> i Name of the DP ii PAN of the DP iii Designation of DP iv Functional Role of DP v Whether DP is Promoter or belongs to Promoter Group</p> <p><b>B. If Reporting is for immediate relative of DP</b> i. Name of the immediate relative of DP ii. PAN of the immediate relative of DP</p> <p><b>C. Details of transaction(s)</b> i. Name of the scrip  ii. No of shares traded and value (Rs.) (Date- wise)</p> <p><b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b> i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under</p>	<p>Ashish Verma ADYPV3782D Assistant Vice President Sales No NA</p> <p>AAVAS 900 shares pledged at a value of Rs.12,70,125.00 on 13 August, 2020</p> <p>27 August, 2020</p>

	regulation 7 of SEBI (PIT) Regulations, 2015	
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	31 August, 2020
4	Details of violations observed under Code of Conduct	Delay in intimation to the Company
5	Action taken by Listed company/ Intermediary/ Fiduciary	Warning letter has been issued to the Designated Person
6	Reasons recorded in writing for taking action stated above	As per the Company's code of conduct- Prevention of Insider Trading Policy
7	Details of the previous instances of violations, if any, since last financial year	NA
8	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)</p> <p>ii. Details of transfer/payment</p> <p><b><u>In case of online:</u></b></p> <p>Name of the transferor</p> <p>Bank Name, branch and Account number</p> <p>UTR/Transaction reference Number</p> <p>Transaction date</p> <p>Transaction Amount (in Rs.)</p> <p><b><u>In case of Demand Draft (DD)</u></b></p> <p>Bank Name and branch</p> <p>DD Number</p> <p>DD date</p> <p>DD amount (in Rs.)</p>	NA
9	Any other relevant information	NA

**Date and Place:** August 31, 2020 Jaipur

**Name and Signature of Compliance Officer:** Sharad Pathak

**PAN:** BAOPP5646N

**Email ID:** [sharad.pathak@aavas.in](mailto:sharad.pathak@aavas.in)



**AAVAS FINANCIERS LIMITED**

(Formerly known as "Au HOUSING FINANCE LIMITED")

An ISO 9001:2015 Certified Company

CIN NO.: L65922RJ2011PLC034297

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