

BSE Limited
(Scrip Code : 511066)
Floor No 25
PJ Towers, Dalal Street
Fort
Mumbai - 400 001

On-line submission through Listing Centre

Dear Sir / Madam,

Compliance Certificate under Listing Regulations

As required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015 ("**Listing Regulations**"), we enclose a compliance certificate received from a Company Secretary in practice for the half-year ended 30th September 2020 relating to transmission of shares etc, effected by the Company within the stipulated time under the listing Regulations.

We request you to kindly take the document on record.

Yours faithfully
For Sakthi Finance Limited



S. Venkatesh
Company Secretary
FCS 7012



Encl:(1)



Certificate No.: 49/2020-21

**HALF YEARLY COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE
HALF YEAR ENDED 30TH SEPTEMBER 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Sakthi Finance Limited** having its Registered Office at 62, Dr.Nanjappa Road, P.B.No.3745, Coimbatore 641 018 maintained by S.K.D.C. Consultants Limited, Kanapathy Towers, Third Floor, 1391/A1, Sathy Road, Ganapathy, Coimbatore 641 006, Registrar and Transfer Agents ("RTA") pertaining to transfer of equity shares of the company for the period from **01st April 2020 to 30th September 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015 and Listing Agreement entered into by Sakthi Finance Limited with BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during the half-year ended on **30th September 2020**:

- a) share certificates relating to the transmission / change in name / deletion of holder name received during the period from **01st April 2020 to 30th September 2020** as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds; and
- b) there was no lodgment for transfer, sub-division, consolidation renewal, exchange or endorsement of calls / allotment of monies during the period from **01st April 2020 to 30th September 2020**.

Date: 9th October 2020

Place: Coimbatore

Signature:

ICSI UDIN: F007070B000896178

CS. R. Dhanasekaran
Company Secretary in Practice,
FCS7070 / CP 7745