



# Bharat Parenterals Limited

**Registered Office & Works:**

Vill. Haripura, Ta. Savli, Dist. Vadodara - 391520 (Guj.) India.

Tele : (02667)-251679, 251680, 251669, 99099 28332.

E-mail: info@bplindia.in, Web.: www.bplindia.in

CIN NO: U24231GJ1992PLC018237

(WHO-GMP CERTIFIED ★ STAR EXPORT HOUSE)

To  
The Manager,  
Listing Department,  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400001

Date: 30.05.2019

**SCRIP CODE: 541096**

Dear Sir,

**Sub.: Submission of Annual Secretarial Compliance Report for the Financial Year 2018-19**

Pursuant to SEBI Circular no. CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed herewith the Annual Secretarial Compliance Report of the Company issued by M/s. Jigar Trivedi & Co., Practicing Company Secretaries of the Company for the financial year ended 31st March, 2019.

We request you to kindly take the above on record.

Yours Faithfully,  
For Bharat Parenterals Limited

Monica Ahir  
Company Secretary & Compliance Officer



**Corporate Office:**

Shree Avenue, 1st Floor, Above Bank of Baroda, Nr. Amit Nagar Circle, VIP Road, Karelibaug,  
Vadodara - 390 022 (Guj.) India. Ph.: (0265) - 3935232, 3935233, 3935234, 99099 84243



**Secretarial compliance Report of Bharat Parenterals Limited for the year ended on 31<sup>st</sup> March, 2019**

I, Jigar Trivedi proprietor of Jigar Trivedi & Co., have examined:

- a) all the documents and records made available to us and explanation provided by **Bharat Parenterals Limited** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2019 ("Review Period") in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (not applicable during the review period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (not applicable during the review period)



- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (not applicable during the review period)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (not applicable during the review period)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (not applicable during the review period)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder;  
and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, **except** in respect of matters specified below:-

Sr. No.	Compliance Requirement	Deviations	Observations/ Remarks
1	Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 submitted for the quarter ended on 30 <sup>th</sup> September, 2018 [within 21 days from the end of each quarter]	Delay of two days in submission of statement investor complaints	The statement of Investor Complaint was submitted on 24 <sup>th</sup> October, 2018 i.e. after delay two days

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



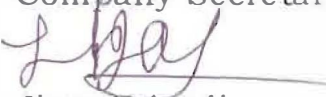
Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	BSE	The company has submitted statement of Investor Complaints after delay of two days.	Fine for two days delay (Rs. 2000 + taxes)	The company has already appointed the Compliance officer and has complied with the applicable rules and regulations otherwise.

(d) This is the first secretarial audit report under the relation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and hence observations made in previous reports are not applicable.

Date: 29/05/2019  
Place: Ahmedabad



For, Jigar Trivedi & Co.,  
Company Secretaries

  
Jigar Trivedi  
M. No. 46488,  
C.P. No. 18483