



To,
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, G Block,
Bandra Kurla Complex,
Bandra (East), Mumbai - 400 051.

Department of Corporate Service
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.

Symbol: ANGELONE

Scrip Code: 543235

Dear Sir/ Madam,

Sub: Compliance Certificate pursuant to the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith copy of the Certificate received from Makarand M. Joshi & Co., the Practicing Company Secretary for year ended 31st March, 2023.

Kindly take the above intimation on your record.

Thanking You,
Yours faithfully,
**For Angel One Limited
(Formerly Known as Angel Broking Limited)**

**Naheed Patel
Company Secretary and Compliance Officer
Date: April 11, 2023
Place: Mumbai**



CSO, Corporate & Regd Office:
601, 6th Floor, Akruti Star, Central Road, MIDC,
Andheri East, Mumbai - 400093.
T: (022) 4000 3600
F: (022) 4000 3609
E: support@angelone.in
www.angelone.in

**Angel One Limited
(Formerly Known as Angel Broking Limited)**
CIN: L67120MH1996PLC101709
SEBI Registration No Stock Broker: INZ000161534,
CDSL: IN-DP-384-2018, PMS: INP000001546,
Research Analyst: INH000000164, Investment Advisor: INA000008172,
AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.

MAKARAND M. JOSHI & CO.

Company Secretaries

Ecstasy, 803/804, 8th Floor, City of Joy, J.S.D Road, Mulund (West), Mumbai- 400080, (T) 022 - 21678100

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE PERIOD APRIL 01, 2022, TO MARCH 31, 2023

On the basis of examination of all relevant Books, registers, forms, documents and papers relating to **Angel One Limited** ("the Company") for the purpose of issuing certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and on the basis of the explanation given to us through **Link Intime India Private Limited**, its Registrar and Share Transfer Agent, we hereby certify that during the period April 01, 2022 to March 31, 2023 there were no requests received and processed for transfer, transmission, deletion. Further, no request received for transposition, Duplication, sub-division, consolidation, exchange, endorsement of calls/allotment monies and renewal.

For Makarand M. Joshi & Co.
Company Secretaries

Kumudini Bhalerao
Partner

FCS: 6667

CP: 6690

PR: 640/2019

UDIN: F006667E000057146

Date: - April 11, 2023

Place: - Mumbai