



NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no.: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas Master Road, Fort, Mumbai - 400023, Maharashtra
Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

18 April, 2019

The Deputy Manager
Department of Corporate Services
BSE Limited
P. J. Towers, Dalal Street, Fort
Mumbai – 400 001

Ref: Scrip Code 530557

Sub: Submission of Certificate u/c 40(9) & (10) of SEBI LODR Regulations, 2015

Respected Sir/Madam,

With reference to the above, we are enclosing along with this letter, certificate for half year ended on 31st March 2019; issued & certified by Ms. Kriti Daga, Practicing Company Secretary; u/r 40(9) & (10) of SEBI LODR Regulations, 2015.

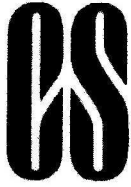
Kindly take the same on your record & oblige.

Thanking You,

Yours truly,
For NCL RESEARCH & FINANCIAL SERVICES LIMITED

GOUTAM BOSE
DIN: 02504803
MANAGING DIRECTOR

Enclosed: a/a



Kriti Daga

Practicing Company Secretaries

4, Ho Chi Minh Sarani, KBR Complex, Flat No. 3C, Kolkata – 700 071

Mobile : +91 98361 62295, Email : kritisdaga@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31st March 2019

TO WHOMSOEVER IT MAY CONCERN

I have examined all share transfer Forms/deeds, Memorandum of Transfers, Registers, Files and other documents relating to **NCL RESEARCH & FINANCIAL SERVICES LIMITED** having its registered office as 79, Bhagyodaya Building, 3rd Floor, Nagindas Master Road, Fort, Mumbai-400023, Maharashtra maintained by **ABS CONSULTANTS PRIVATE LIMITED** the Registrar & share Transfer Agent of the Company pertinent to transfer of equity shares of the Company for the period from **01st October 2018 to 31st March 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) regulations, 2015 entered into by **NCL RESEARCH & FINANCIAL SERVICES LIMITED** and based on information provided by the company, we hereby certify that the company has delivered during the half year ended on 31st March 2019:

- a) Share Certificate(s) relating to the Share Transfer Form(s) received during the period from 1st October 2018 to 31st March 2019 as entered in the Memorandum of transfers have been issued within fifteen days from the respective date of lodgement of each forms/deeds excepting those rejected on technical grounds:
- b) Has not received any request for sub-division, Consolidation, renewal, exchange or endorsement of calls/allotments monies: and
- c) No request for exchange of Duplicate, Transmission and Split Share Certificate have been received during the half year ended on 31st March 2019.

For **Kriti Daga**
Company Secretary

Kriti Daga
Proprietor
ACS No. A26425 C.P. No. 14023

KRITI DAGA
COMPANY SECRETARY IN WHOLE-TIME PRACTICE
Membership No.-26425
C.P. No.-14023
KBR Complex, 4, Ho Chi Minh Sarani
Next to US Consulate
Flat No.3C, Kolkata-700 071
Ph: 9836162295

Place: Kolkata
Date: 11.04.2019