

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. Forbes & Company Limited* (formally known as Forbes Gokak Limited) having its Registered Office situated at Forbes Building, Charanjit Rai Marg, Fort, Mumbai – 400 001 maintained by *M/s. TSR Darashaw Limited*, Registrar & Share Transfer Agent (RTA) having its office at 6/10, Haji Moosa Patrawala Industrial Estate, 20, Dr. E. Moses Road, Mahalaxmi, Mumbai – 400 011 pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (LODR) Regulations, 2015, and based on the information provided by the Company / RTA, I hereby certify that the Company / RTA has delivered **during half year ended on 31<sup>st</sup> March, 2019:**

A) (i) ~~Share/Debtenture~~ Certificate(s) relating to the transfer of Shares/~~Debtentures~~ received during the period from **01<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** as entered in the Memorandum of Transfers have been issued within fifteen days of the respective date of lodgment of each deed as stipulated under Regulation 40(8) of the SEBI (LODR) Regulations, 2015.

(ii) Issue of Duplicate ~~and split~~ of share certificates during the period from **01<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** has been issued within thirty days from the date of lodgment as stipulated under Regulation 40(9) of the SEBI (LODR) Regulations, 2015. Further, during the period there was no split, consolidation, exchange or endorsement of calls / allotment monies.

B) *Any other information, if applicable: Not Applicable*

The Equity shares of the Company are listed on BSE Limited.

Date: 24<sup>th</sup> April, 2019  
Place: Mumbai



**For P. P. SHAH & CO.**

*Pradip C. Shah*

**(PRADIP C. SHAH)**

**Practising Company Secretary**

**Partner**

**Membership No: 1483**

**Certificate of Practice: 436**