

**Jammu & Kashmir Bank Limited**

Corporate Headquarters  
M A Road, Srinagar 190001  
Kashmir, India  
CIN: L65110JK1938SGC000048

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**Board Secretariat**

Ref:-JKB/BS/F3652/2020/093  
Date: 13<sup>th</sup> October, 2020

**National Stock Exchange of India Ltd**  
Exchange Plaza 5<sup>th</sup> Floor  
Plot No. C/1 G-Block  
Bandra Kurla Complex  
Bandra (E) Mumbai - 400 051  
Symbol: J&KBANK

**The BSE Ltd.**  
Phiroze Jeejeebhoy Towers  
Dalal street  
Mumbai - 400 001  
Scrip Code:532209

**SUB: - COMPLIANCE CERTIFICATE UNDER REGULATION 40(10) OF SEBI (LODR) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020.**

Dear Sirs,

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, we are enclosing herewith copy of the compliance certificate duly certified by Company Secretary in practice for the half year ended 30<sup>th</sup> September, 2020.

Thanking you

Yours faithfully  
For Jammu & Kashmir Bank Ltd.

A handwritten signature in blue ink, appearing to read 'Shafi Mir', is written over a faint, larger version of the same signature.

(Mohammad Shafi Mir)  
Company Secretary

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

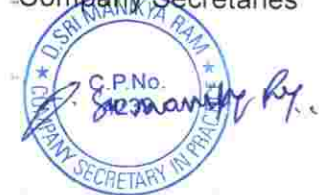
FOR THE HALF YEAR ENDED 30<sup>th</sup> September, 2020

I have examined all share transfer deeds, Memorandum of Transfers (MOT's), Registers, files and other documents relating to JAMMU AND KASHMIR BANK LIMITED, maintained by the Registrars KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) at Hyderabad, pertaining to transfer of Equity Shares of the Bank for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Bank, I hereby certify that the Bank during half year ended on 30<sup>th</sup> September, 2020.

- a. That all valid requests for transmission, issue of duplicate shares have been given effect to and all the relevant equity share certificates have been dispatched within the stipulated time.
- b. That all valid requests for transmission, issue of duplicate shares, which were not in order but could be rectified, the necessary objection/intimation letter was sent within the stipulated time and in case of rectification of defect/desired action, the request was given effect to and all relevant certificates were dispatched within the stipulated time from the date of rectification of such defect/desired action by the applicant.
- c. That all valid request for transmission, duplicate issue of shares, which were not in order and could not be rectified were rejected and returned within the stipulated time.
- d. No request was received for consolidation of shares.

Place: Hyderabad  
Date: 13<sup>th</sup> October, 2020

For DSMR & Associates  
Company Secretaries



D S M Ram  
Proprietor  
C. P. No. 4239  
UDIN: A014939B000931943