

JINDAL POLY INVESTMENT AND FINANCE COMPANY LIMITED

Corp Office: Plot No. 12, Sector B-1, Local Shopping Complex, Vasant Kunj, New Delhi-110070
Regd Off: 19th K M, Hapur Bulandshahr Road P.O.: Gulaothi, Distt.: Bulandshahr UP 245408
Tel.: 011- 40322100; E-mail: cs_jpifcl@jindalgroup.com
CIN: L65923UP2012PLC051433

Ref: JPIFCL/SE/April-24/012

Date: 24th April, 2024

The Manager Listing BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai – 400001 Scrip Code: 536773	The Manager, Listing National Stock Exchange of India Ltd. Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051 Scrip Symbol: JPOLYINVST
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Sub: Certificate under Regulation 40(9) & (10) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sirs,

In terms of Regulation 40(10) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015, we are enclosing herewith Compliance Certificate under regulation 40(9) of the said regulation, for the year ended 31.03.2024, duly signed by Pragnya Pradhan & Associates, Company Secretary in practice, for the year ended on 31st March 2024 in the prescribed format.

Please take the above information in your record.

Yours Faithfully,

For Jindal Poly Investment and Finance Company Limited



Swati Tiwari
Company Secretary
M. No. A46625



Encl: As above



PRAGNYA PRADHAN & ASSOCIATES

PRACTICING COMPANY SECRETARIES

Deliver the best at the earliest

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015, AS AMENDED, FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019. Further, SEBI vide its circular dated September 07, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds.

SEBI vide gazette notification no. SEBI/LAD-NRO/GN/2022/66 dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents and records relating to **M/s JINDAL POLY INVESTMENT AND FINANCE COMPANY LIMITED** ('the Company') maintained and provided electronically by **KFIN TECHNOLOGIES LIMITED** (formerly known as KFin Technologies Private Limited), Registrar and Share Transfer Agents (RTA), for the financial year from **April 01, 2023 to March 31, 2024**, for the purpose of issuing a Certificate as per Regulation 40(9) of **SEBI** (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that the Company has delivered during the said financial year ended March 31, 2024:

- a) Not received any valid share transfer request during the period from April 01, 2023 to March 31, 2024.
- b) Share certificates in respects of requests for deletion of name and transmission of shares received during the period April 01, 2023 to March 31, 2024 and have been processed within the stipulated time period. Requests received for loss of share certificates during the period April 01, 2023 to March 31, 2024 and have been processed within the stipulated time period pursuant to SEBI Circulars issued from time to time.
- c) Not received any valid request for issue of replacement / exchange / split of share / consolidation/ endorsement and re materialization of shares during the period from 1st April 2023 to 31st March 2024.

Date: 16-04-2024
Place: New Delhi

FOR PRAGNYA PRADHAN & ASSOCIATES

COMPANY SECRETARIES

PRAGNYA
PARIMITA
PRADHAN

Digitally signed by PRAGNYA
PARIMITA PRADHAN
Date: 2024.04.16 10:32:54
+05'30'

(Pragnya Parimita Pradhan)
(Proprietor) C.P.No.-12030
Peer Review No: 1564/2021
UDIN - A032778F000132845