

SEC/2022/003

January 14, 2022

BSE Limited Corporate Relationship Department, P. J. Towers, Dalal Street, Fort, Mumbai - 400 001. BSE Scrip Code: 532756	National Stock Exchange of India Limited Corporate Relationship Department, Exchange Plaza, 5 th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051. NSE Scrip Code: MAHINDCIE
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Dear Sir / Madam,

Sub: Certificate confirming compliance with Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In pursuance of Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR), we are submitting herewith Certificate confirming compliance with Regulation 40 (9) of the LODR for the year ended December 31, 2021 covering period from January 01, 2021 to December 31, 2021.

We request you to take the same on record.

Thanking you.

Yours faithfully,

For Mahindra CIE Automotive Limited

Pankaj Goyal
Company Secretary and Compliance Officer
Membership No. A 29614
Encl: as above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31st DECEMBER, 2021**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Mahindra CIE Automotive Limited ('Company')** having its registered office at Mahindra Towers, P. K. Kurne Chowk, Worli, Mumbai - 400018 maintained by **KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited)**, the Registrar & Share Transfer Agent ('RTA') of the Company pertaining to transfer of equity shares of the Company for the period from **1st January, 2021 to 31st December, 2021** for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by the Company through its RTA and based on our examination as well as information and explanations furnished to us which is to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the said financial year of **Mahindra CIE Automotive Limited** ended on **31st December, 2021**:

- a) the share certificate(s) relating to the Share Transfer Form(s) received during the said financial year (from 1st January, 2021 to 31st December, 2021), as entered in the Memorandum of Transfers, were issued within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds; and
- b) request(s) for issue of duplicate share certificate(s) in lieu of original lost/ misplaced as received was duly processed within the prescribed time period. Further, no requests for issue of duplicate share certificate(s) in lieu of sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies was received.

For **Manish Ghia & Associates**
Company Secretaries
(Unique ID: P2006MH007100)



Mannish L. Ghia

Place: **Mumbai**
Date: **January 14, 2022**
UDIN: **F006252C002167004**

CS Mannish L. Ghia
Partner
M. No. **FCS 6252, C.P. No. 3531**
PR **822/2020**

Note: We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. However, we have sought and received all the relevant information and data in soft form via email from the RTA and also obtained required confirmations through email. The report is based on verification of the said data, information and confirmations of RTA.